

1 **Ischemic postconditioning reduces NMDA receptor currents through the opening of the**  
2 **mitochondrial permeability transition pore and K<sub>ATP</sub> channel in mouse neurons**

3 Yudai Morisaki<sup>1</sup>, Ichiro Nakagawa<sup>1,\*</sup>, Yoichi Ogawa<sup>2</sup>, Shohei Yokoyama<sup>1</sup>, Takanori Furuta<sup>1</sup>, Yasuhiko  
4 Saito<sup>2</sup> and Hiroyuki Nakase<sup>1</sup>

5 Departments of <sup>1</sup>Neurosurgery and <sup>2</sup>Neurophysiology, Nara Medical University, Shijocho 840, Kashihara  
6 City, Japan;

7 \*Corresponding author: Ichiro Nakagawa

8 Department of Neurosurgery, Nara Medical University, Shijocho 840, Kashihara City, Japan

9 E-mail: [nakagawa@naramed-u.ac.jp](mailto:nakagawa@naramed-u.ac.jp), TEL: +81-0744-22-3051

10

11 Cover title: Ischemic PostC reduces NMDAR currents via mPTP

12 Number of figures: 6

13 Keywords: Ischemic postconditioning, NMDA receptor, mitochondrial K<sub>ATP</sub> channel, mitochondrial  
14 permeability transition pore, Ca<sup>2+</sup>

15

16 ORCID ID

17 Yudai Morisaki, 0000-0002-6348-2372

18 Ichiro Nakagawa, 0000-0002-1340-3293

19 Yoichi Ogawa, 0000-0001-5052-1005

20 Shohei Yokoyama, 0000-0002-1849-4200

21 Takanori Furuta, 0000-0002-9839-2262

22 Yasuhiko Saito, 0000-0002-0148-6597

23 Hiroyuki Nakase, 0000-0002-2726-5960

24

25 **Acknowledgments:** None

26 **Funding:** This study was supported by JSPS KAKENHI Grant Number JP16K10735.

27 **Conflicts of Interest:** The authors declare that they have no conflict of interest.

28 **Data Availability:** The datasets of the current study are available upon request with no restriction.

29 **Author Contributions:** Conception and design or analysis and interpretation of data, or both; YM, IN,

30 YO. Drafting of the manuscript or revising it critically for important intellectual content; YM, IN, YO,

31 SY, TF, YS, HN. Final approval of the manuscript submitted; IN, YS, HN.

32

33 **Abstract:**

34 Ischemic postconditioning (PostC) is known to reduce cerebral ischemia/reperfusion (I/R) injury,  
35 however, whether the opening of mitochondrial ATP-dependent potassium (mito-K<sub>ATP</sub>) channels and  
36 mitochondrial permeability transition pore (mPTP) cause the depolarization of the mitochondrial  
37 membrane remains unknown. We examined the involvement of the mito-K<sub>ATP</sub> channel and the mPTP in  
38 the PostC mechanism. Ischemic PostC consisted of three cycles of 15 s reperfusion and 15 s re-ischemia,  
39 and was started 30 s after the 7.5 min ischemic load. We recorded N-methyl-D-aspartate receptors  
40 (NMDAR)-mediated currents and measured cytosolic Ca<sup>2+</sup> concentrations, and mitochondrial membrane  
41 potentials in mouse hippocampal pyramidal neurons. Both ischemic PostC and the application of a mito-  
42 K<sub>ATP</sub> channel opener, diazoxide, reduced NMDAR-mediated currents and suppressed cytosolic Ca<sup>2+</sup>  
43 elevations during the early reperfusion period. An mPTP blocker, cyclosporine A, abolished the reducing  
44 effect of PostC on NMDAR currents. Furthermore, both ischemic PostC and the application of diazoxide  
45 potentiated the depolarization of the mitochondrial membrane potential. These results indicate that  
46 ischemic PostC suppresses Ca<sup>2+</sup> influx into the cytoplasm by reducing NMDAR-mediated currents  
47 through mPTP opening. The present study suggests that depolarization of the mitochondrial membrane  
48 potential by opening of the mito-K<sub>ATP</sub> channel is essential to the mechanism of PostC in neuroprotection  
49 against anoxic injury.

50

51 **Keywords:** Ischemic postconditioning; NMDA receptor; mitochondrial K<sub>ATP</sub> channel; mitochondrial  
52 permeability transition pore; Ca<sup>2+</sup>

53

54

55 **Introduction**

56 Brain tissue ischemia-reperfusion (I/R) injury is a common characteristic of ischemic stroke which occurs  
57 when blood supply is restored after a period of ischemia. Although reperfusion is the main treatment for  
58 acute ischemic stroke (AIS), it can also worsen tissue damage and limit the recovery of function. It has  
59 been shown that the mechanisms underlying I/R injury include leukocyte infiltration, platelet activation,  
60 oxidative stress, complement activation, mitochondria-mediated mechanisms, disruption of the blood  
61 brain barrier, and ultimately post-ischemic hyperperfusion leading to edema or hemorrhagic  
62 transformation (Carden et al. 2000; Nagai et al. 2015; Nour et al. 2013; Zhao et al. 2009).

63 A phenomenon whereby ischemic tolerance can be obtained by intermittently applying ischemic loads  
64 prior to lethal ischemia has been called ischemic preconditioning (IPC) (Kitagawa et al. 1990; Nakagawa  
65 et al. 2002; Yin et al. 2005). Although IPC has been proven to have a remarkable neuroprotective effect  
66 for cerebral I/R injury, clinical application of the IPC mechanism for AIS is impractical unless the onset  
67 of AIS can be predicted. However, it has been found that intermittent ischemic loads after severe ischemia  
68 can also suppress I/R injury, which is termed postconditioning (PostC) (Wang et al. 2008; Zhao et al.  
69 2006; Zhao et al. 2003). Since the onset time of reperfusion after AIS can be predictable or controllable in  
70 clinic settings, the concept of PostC could lead to establishing new therapeutic modalities in addition to  
71 intravenous tissue plasminogen activator (tPA) treatment and mechanical thrombectomy. Previous studies  
72 have demonstrated that PostC is mediated by opening of mitochondrial ATP-dependent potassium (mito-  
73  $K_{ATP}$ ) channels (Kis et al. 2003; Robin et al. 2011) and we previously reported that the opening of mito-  
74  $K_{ATP}$  channels is involved in the suppressive effect of ischemic PostC on excessive synaptic glutamate  
75 release and in protection against neuronal death (Yokoyama et al. 2019). However, the more detailed  
76 mechanism by which the opening of mito- $K_{ATP}$  channels exerts neuroprotective effects in PostC has not  
77 yet been elucidated.

78 One of the crucial elements of the cellular process involved in cerebral I/R injury is the N-methyl-D-

79 aspartate receptor (NMDAR). Activation of NMDAR by excessive glutamate release due to cerebral  
80 ischemia exerts a harmful effect in acute cerebral ischemia (Mayor et al. 2018). Overactivation of  
81 NMDAR increases cytosolic  $\text{Ca}^{2+}$  concentrations ( $[\text{Ca}^{2+}]$ ), activates proteins such as caspases and  
82 endonucleases, and ultimately leads to cell death (Szydlowska et al. 2010). Interestingly, the western  
83 painted turtle, which is highly resistant to ischemia, exhibits decreased NMDAR currents during anoxia  
84 (Bickler et al. 2000). Furthermore, Hawrysh et al. indicated that this mechanism involves the opening of  
85 the mito- $\text{K}_{\text{ATP}}$  channel (Hawrysh et al. 2013).

86 Another element participating in cerebral I/R injury is the mitochondrial permeability transition pore  
87 (mPTP). The excessive accumulation of  $\text{Ca}^{2+}$  in the mitochondrial matrix and other pathological factors  
88 causes the opening of mPTP. The mPTP has two modes of opening. One is the high-conductance mode,  
89 which allows the passage of molecules with molecular weights up to about 1.5 kDa, and is mainly  
90 involved in mitochondrial swelling and cell death (Haworth et al. 1979; Hunter et al. 1979; Hunter et al.  
91 1979). The other is termed a low-conductance mode, and allows the passage of small molecules (< 300  
92 Da) such as the inorganic ions  $\text{Ca}^{2+}$ ,  $\text{H}^+$  and  $\text{K}^+$ . Hawrysh et al. reported that in western painted turtles the  
93 low-conductance mode of mPTP opening reduces NMDAR conductance to obtain ischemic tolerance  
94 (Haworth et al. 1979). It has been presumed that the low-conductance mode of mPTP opening, together  
95 with mito- $\text{K}_{\text{ATP}}$  channel opening, depolarizes the matrix membrane potential ( $\Delta\Psi$ ), causes mitochondrial  
96  $\text{Ca}^{2+}$  release, and consequently lowers NMDAR currents during anoxia. However, whether the opening of  
97 mito- $\text{K}_{\text{ATP}}$  channels and mPTP actually cause the depolarization of the mitochondrial membrane remains  
98 unknown.

99 In the present study, we hypothesized that the anoxic tolerance mechanisms in western painted turtles  
100 might also be applicable to PostC in mammals. We analyzed NMDAR currents, cytosolic  $\text{Ca}^{2+}$   
101 concentrations, and mitochondrial membrane potential changes under ischemia or chemical PostC in  
102 hippocampal pyramidal neurons using whole-cell patch-clamp techniques.

103

## 104 **Materials and Methods**

### 105 **Preparation of mouse hippocampal slices**

106 All experimental procedures were approved by the animal care and use committee of Nara Medical  
107 University (No.12102). All experimental procedures were conducted in accordance with the guidelines  
108 for appropriate implementation of animal experiments. C57BL/6J mice (81 males) were used in the  
109 experiments. The mice were housed under a 12:12 light cycle with free access to food and water. Mice at  
110 4-8 weeks of age were anesthetized with isoflurane and oxygen (0.05 V/V, administered via inhalation)  
111 and killed by decapitation. The brain was removed quickly and immersed in an ice-cold solution  
112 (composition in mmol/L: sucrose 230, KCl 2.5, NaHCO<sub>3</sub> 25, NaH<sub>2</sub>PO<sub>4</sub> 1.25, CaCl<sub>2</sub> 0.5, MgSO<sub>4</sub> 10, D-  
113 glucose 10) bubbled with 95% O<sub>2</sub> / 5% CO<sub>2</sub>. Horizontal slices of the hippocampal formation and  
114 adjacent cortices were cut at a 350  $\mu$ m-thickness in the above solution using a vibratome (Vibratome 1000  
115 Plus 102, Pelco International, Redding, CA, USA). The slices were then incubated in a standard artificial  
116 cerebrospinal fluid (aCSF, composition in mmol/L: NaCl 125, KCl 2.5, NaHCO<sub>3</sub> 25, NaH<sub>2</sub>PO<sub>4</sub> 1.25,  
117 CaCl<sub>2</sub> 2.0, MgCl<sub>2</sub> 1.0, D-glucose 10) bubbled with the same mixed gas at 32 °C for at least 1 h, and then  
118 maintained in the aCSF at 27 °C. Three hippocampal slices were obtained per individual animal and used  
119 for experimentation, thus N=12 consists of at least four separate animals.

120

### 121 **Patch-clamp recording**

122 Individual slices were placed in an 800  $\mu$ L recording chamber that was continuously perfused with the  
123 gas-saturated aCSF at a flow rate of 2.0 mL/min. The temperature was maintained between 31 and 33 °C  
124 by a regulated heater connected to the inflow. The recording chamber was mounted on a BX50WI upright  
125 microscope (Olympus, Tokyo, Japan) equipped with infrared differential interference contrast (IR-DIC)  
126 and epifluorescence imaging apparatuses. Whole-cell voltage-clamp recordings were made from the soma

127 of visually identified CA1 pyramidal cells using an EPC-9 patch-clamp amplifier (Heka,  
128 Lambrecht/Pfalz, Germany). The holding potential was set to -70 mV. Patch pipettes were constructed  
129 from thick-walled borosilicate glass capillaries and filled with an internal solution containing (mmol/L):  
130 Cs-Gluconate: 141, CsCl: 4.0, MgCl<sub>2</sub>: 2.0, HEPES: 10.0, Mg-ATP: 2.0, Na-GTP: 0.3, EGTA: 0.2, pH:  
131 7.25 with CsOH. Pipette resistance was 2.5-3.5 MΩ. Whole-cell recordings were rejected if access  
132 resistance was greater than 20 MΩ. To isolate glutamatergic excitatory post-synaptic currents (EPSCs), all  
133 recordings were conducted in aCSF supplemented with the GABA<sub>A</sub> and GABA<sub>B</sub> antagonist picrotoxin  
134 (50 μmol/L).

135

#### 136 **Simulating ischemia and postconditioning in brain slices**

137 We simulated severe brain ischemia by exposing slices to a solution in which glucose and oxygen were  
138 replaced with sucrose and nitrogen. Reperfusion for 20 min was performed after 7.5 minutes of ischemia.  
139 Ischemic postconditioning (PostC) was started 30 s after 7.5 min of anoxia, and consisted of 3 anoxic  
140 perfusions of 15 s separated by non-anoxic reperfusion of 15 s. To estimate the time-course of dissolved  
141 oxygen levels in the recording chamber, O<sub>2</sub> partial pressure (pO<sub>2</sub>) of the chamber solution was measured  
142 using a Klerk electrode. When the anoxic perfusion started, the pO<sub>2</sub> began to lower rapidly, and then  
143 approached a minimum value quasi-exponentially. Six minutes (360 s) after the onset of anoxic perfusion,  
144 the pO<sub>2</sub> was lower than 10 % of the pre-anoxic oxygenated solution value. After anoxia, the recovery of  
145 pO<sub>2</sub> appeared to be a mirror image of pO<sub>2</sub> reduction during anoxic perfusion. Three minutes (180 s) after  
146 the anoxia, the pO<sub>2</sub> recovered to 90 % of the pre-anoxic level. The PostC procedure arrested the pO<sub>2</sub>  
147 recovery at about 40-50 % of the pre-anoxic level, and retarded the subsequent pO<sub>2</sub> recovery for about 90  
148 s. In our previous study (Yokoyama et al. 2019) that utilized the same protocols as the present study, we  
149 found that a surge of synaptic glutamate release occurred during the immediate-early reperfusion period,  
150 and that the cumulative occurrence of synaptic glutamate release is positively correlated with the number

151 of neurons that died during the anoxia/ reperfusion period. We have also demonstrated that the ischemic  
152 PostC, as well as administration diazoxide (DZX) after the anoxic perfusion, significantly suppress the  
153 reperfusion surge in glutamate release. Therefore, these protocols are suitable for simulating ischemia and  
154 PostC. Reperfusion lasted for 20 min after anoxia PostC. DZX, NMDA, DL-AP5, 5-hydroxydecanoate  
155 (5-HD) and cyclosporine A (CsA) were purchased from Sigma-Aldrich, and picrotoxin was purchased  
156 from Wako Pure Chemical.

157

### 158 **Perfusion protocols**

159 We examined the effects of ischemic PostC and chemical PostC using DZX (500  $\mu\text{mol/L}$ ; the  
160 concentration for maximum neuroprotective effect, (Nakagawa et al. 2002)) on NMDAR currents,  
161 cytosolic  $\text{Ca}^{2+}$  concentrations and mitochondrial membrane potentials, and tested whether the application  
162 of 5-HD (200  $\mu\text{mol/L}$  with reference to previous studies (Liang et al. 2005; Pain et al. 2000)). blocks  
163 these effects. We randomly assigned mouse hippocampal slices to the following groups (Fig. 1): (1)  
164 control group (54 slices from 26 animals:  $6 \pm 0.2$  weeks): the slices were exposed to 7.5 min of anoxia  
165 and reperfusion with aCSF for 20 min; (2) PostC group (41 slices from 22 animals:  $6 \pm 0.2$  weeks): after  
166 7.5 min of anoxia and 30 s of reperfusion, the slices were exposed to the PostC procedure and then  
167 reperused with aCSF; (3) DZX group (22 slices from 11 animals:  $6 \pm 0.3$  weeks): after 7.5 min of anoxia,  
168 the slices were perfused with aCSF containing DZX for 10 min, and then with normal aCSF for 10 min;  
169 (4) PostC and 5-HD group (10 slices from 4 animals:  $6 \pm 0.3$  weeks): both normal and glucose-free aCSFs  
170 contained 5-HD throughout the recording period with the same anoxia / reperfusion schedule as the PostC  
171 group; (5) PostC and CsA group (11 slices from 7 animals:  $6 \pm 0.5$  weeks): after 5 min normal perfusion,  
172 slices were perfused with CsA containing normal or glucose-free aCSF using an the same anoxia /  
173 reperfusion schedule as the PostC group.

174

175 **Recording of whole-cell current responses to NMDA application**

176 To assess the sensitivity of NMDAR, whole-cell current responses to NMDA application were recorded.  
177 NMDA (5  $\mu\text{mol/L}$ ) was puffed to the cell body for 80-160 ms with a micropipette similar to that used for  
178 whole-cell recording. To reduce  $\text{Mg}^{2+}$  blocking of NMDAR channels, the neuron was voltage-clamped to  
179 a holding potential of -55 mV during the pre- (1 s) and post-stimulation period (6 s). Experiments were  
180 performed over 30-35 min periods, where NMDAR current recordings were made every 30 s.

181

182 **Fluorometric assessment of cytosolic  $\text{Ca}^{2+}$  changes**

183 To assess cytosolic changes in  $[\text{Ca}^{2+}]$ , Fura-2 fluorescence signals of whole-cell voltage-clamped  
184 pyramidal cells were measured by adding 15  $\mu\text{mol/L}$  Fura-2 (DOJINDO, Kumamoto, Japan) to the  
185 pipette solution. Fura-2 was excited every 10 s at 340 nm and 380 nm using a fast-switching multi-  
186 wavelength illumination system (Lambda DG-4, Sutter Instrument, CA, USA). The fluorescent emission  
187 was long-pass filtered at 510 nm, and a 500 nm dichroic mirror was used. The image was acquired using a  
188 40 x water-immersion objective lens (LUMPlanFI/IR, Olympus, Japan) and a CCD camera (CoolSNAP  
189 EZ, Photometrics, AZ, USA). The illumination and image acquisition were controlled with MetaMorph  
190 software (Molecular Devices, CA, USA). A circular area (5  $\mu\text{m}$  diameter) with maximum fluorescence  
191 intensity located near the center of the soma was set as the region of interest (ROI). The ratio of mean  
192 fluorescence intensity (340 nm excitation / 380 nm excitation) in the ROI was calculated.

193

194 **Fluorometric assessment of the mitochondrial membrane potential**

195 To assess the mitochondrial membrane potential, a fluorescent dye exhibiting a membrane potential-  
196 dependent shift in emission wavelength, JC1 (Cayman Chemical, MI, USA), was loaded to the cytosol  
197 through the patch pipette. The patch pipette was tip-filled with dye-free internal solution, and then back-  
198 filled with dye-containing internal solution (2.0  $\mu\text{mol/L}$ ) just before use. The J-aggregated state (red

199 fluorescence) of JC1 was excited at 548 nm with a 580 nm dichroic mirror, and fluorescence emission  
200 was long-pass filtered at 590 nm. The monomeric state (green fluorescence) of JC1 was excited at 477 nm  
201 with a 500 nm dichroic mirror, and fluorescence emission was band-pass filtered between 515 and 565  
202 nm. Fluorescence measurements were conducted at 30 s intervals using the same equipment as that used  
203 for Fura-2. Since red fluorescence was distributed eccentrically around the nucleus, which was frequently  
204 in a crescent formation, the ROI was defined as a hand drawn polygonal area covering the region of high  
205 red fluorescence. The ratio of mean fluorescence intensity (green / red) in the ROI was calculated.  
206 Mitochondrial depolarization induced JC1 fluorescence changes were confirmed by administering an  
207 uncoupler of mitochondrial oxidative phosphorylation, 10  $\mu\text{mol/l}$  carbonilcyanide p-  
208 triflouromethoxyphenylhydrazone (FCCP).

209

## 210 **Statistical analysis**

211 For comparisons of NMDA-induced current amplitudes and fluorescence ratios among groups, each  
212 experimental value was expressed as a percentage relative to the mean value observed during the 5-min  
213 pre-ischemia period for each pyramidal cell. Wilcoxon rank-sum tests are applied for the data were not  
214 normally distributed. Error bars depicted in each dotted graph or sequential line plot indicate SDs of the  
215 percentages. The percentage data were analyzed using a Kruskal-Wallis test followed by Dunn's multiple  
216 comparisons test. Sample size and power calculations based on previous data were performed using an  
217 effect size of 35%, power of 0.8 and alpha 0.05. We planned to perform at least 5 experiments in each  
218 group. Significant effects were further tested with a post-hoc multiple comparison test (Holm-Šidák  
219 method). Significance was set at  $p < 0.05$ . The results of the tests for normality and variance  
220 heterogeneity were described as supplementary table.

221

## 222 **Results**

223 **Postconditioning silences NMDAR currents after anoxia**

224 To test the effects of ischemic PostC and chemical PostC on NMDAR currents, we randomly assigned  
225 mouse hippocampal slices to the following groups (Fig. 1). The puff application of NMDA to CA1  
226 pyramidal cells caused an inward current composed of a fast falling phase followed by a slow decay  
227 phase, which lasted several seconds (Fig. 2A). Since the waveform of the NMDA induced currents  
228 showed no apparent change throughout the anoxic period, we estimated gross NMDAR conductance by  
229 measuring the peak amplitude of the NMDA induced currents. Both ischemic and chemical PostC  
230 reduced NMDAR currents during the early reperfusion period. We compared the change in NMDAR  
231 current amplitudes during the period from 10 to 20 min after anoxia among the control, PostC and CsA +  
232 PostC groups (Fig. 2). NMDA-induced currents during the early reperfusion period were decreased to a  
233 greater degree in the PostC group than in the control group (PostC: N = 9, control: N = 10,  $r(3) = 0.48$ ,  $p$   
234  $< 0.05$ ) (Fig. 2B). NMDA-induced currents in the DZX group were reduced compared to control (N = 6,  
235  $r(3) = 0.74$ ,  $p < 0.05$ ) (Fig. 2B). Furthermore, NMDA-induced currents in the PostC group were lower  
236 than in the CsA + PostC group (N = 11,  $r(3) = 0.36$ ,  $p < 0.05$ ) (Fig. 2B). These results indicate that PostC,  
237 as well as the application of the mito- $K_{ATP}$  channel opener DZX, silenced gross NMDAR conductance  
238 during the early reperfusion period, and that the mPTP inhibitor CsA dissipated the suppressive effect of  
239 PostC on NMDAR conductance.

240

241 **Postconditioning suppresses cytosolic  $Ca^{2+}$  increases via extracellular influx**

242 To assess the involvement of cytosolic  $[Ca^{2+}]$  in PostC-induced neuroprotection, cytosolic  $[Ca^{2+}]$  changes  
243 were examined in the control, PostC, DZX, and 5-HD + PostC groups. During the anoxic period, the  
244 Fura-2 ratio gradually increased, which indicates an increase in cytosolic  $[Ca^{2+}]$ . Cytosolic  $[Ca^{2+}]$   
245 continued to rise until 5 min after anoxia, and gradually decreased thereafter (Supplementary Fig. 1, Fig.  
246 3A). We analyzed the changes in Fura-2 ratios during the period from 5 to 10 min after anoxia among the

247 groups. In the PostC and DZX groups the % change in Fura-2 ratio was significantly lower than in the  
248 control group (Con: N = 14, PostC: N = 10, DZX: N = 11, Con vs PostC;  $r(3) = 0.72$ ,  $p < 0.05$ , Con vs  
249 DZX;  $r(3) = 0.72$ ,  $p < 0.05$ ) (Fig. 3A, B). In addition, the % change in Fura-2 ratio for the 5-HD + PostC  
250 group was significantly higher than the PostC group (5-HD + PostC: N = 10,  $r(3) = 0.87$ ,  $p < 0.05$ ) (Fig.  
251 3A, B). These results indicate that ischemic PostC and chemical PostC suppress cytosolic  $[Ca^{2+}]$  elevation  
252 during the early reperfusion period, and that blocking the mito- $K_{ATP}$  channel prevents the ability of  
253 ischemic PostC and to suppress cytosolic  $[Ca^{2+}]$  elevation.

254 Furthermore, we determined whether the elevation in cytosolic  $[Ca^{2+}]$  during the anoxic period was due  
255 to release from cytosolic  $Ca^{2+}$  stores or influx from the extracellular fluid. To examine this, we evaluated  
256 the Fura-2 ratio during the anoxic / reperfusion period using  $Ca^{2+}$ -free aCSF. With  $Ca^{2+}$ -free aCSFs  
257 perfusion, the elevation in Fura-2 ratio during the late anoxia and early reperfusion period was less  
258 prominent than in the presence of  $Ca^{2+}$ -containing aCSF, and the PostC procedure showed no detectable  
259 effect (Fig. 4A). The change in Fura-2 ratio during the period from 5 to 10 min after anoxia in the control  
260 group perfused with  $Ca^{2+}$ -containing aCSFs was higher than in the control and PostC groups perfused  
261 with  $Ca^{2+}$ -free aCSFs (Con: N = 14, Con (Ca0): N = 14, PostC (Ca0): N = 15, Con vs Con(Ca0);  $r(2) =$   
262  $0.79$ ,  $p < 0.05$ , Con vs PostC (Ca0);  $r(2) = 0.78$ ,  $p < 0.05$ ) (Fig. 4B). There was no significant difference in  
263 the change of Fura-2 ratio during the period from 5 to 10 min after anoxia between the control and PostC  
264 groups perfused with  $Ca^{2+}$ -free aCSFs. These results indicate that the prominent elevation in cytosolic  
265  $[Ca^{2+}]$  observed during the anoxic period was mainly due to influx from the extracellular fluid.

266

### 267 **Activation of NMDA receptor mediates elevation in cytosolic $Ca^{2+}$ concentration**

268 To confirm the involvement of NMDAR in cytosolic  $[Ca^{2+}]$  elevation, we examined the effects of NMDA  
269 blocking with a NMDA competitive inhibitor (DL-AP5, 5  $\mu\text{mol/L}$ ) on changes in cytosolic  $[Ca^{2+}]$  during  
270 anoxia. The addition of DL-AP5 to aCSFs suppressed the elevation in the Fura-2 ratio during anoxia (Fig.

271 5A). The Fura-2 ratio during the period from 5 to 10 min after anoxia in the control group perfused with  
272 DL-AP5-containing aCSFs was significantly lower than in the control group perfused with aCSFs without  
273 DL-AP5 (Con: N=11, Con (NMDAR block): N=9,  $r(2) = 0.75$ ,  $p < 0.05$ ) (Fig. 5B).

274

### 275 **Mitochondria temporally depolarize during ischemic PostC and chemical PostC with DZX**

276 To determine whether ischemic PostC and chemical PostC with DZX depolarize the mitochondrial inner  
277 membrane after anoxia, changes in the mitochondrial membrane potential were examined in the control,  
278 PostC and DZX groups. Microphotographs of a JC1 loaded pyramidal cell are showed at Supplementary  
279 Fig. 2. Application of an uncoupler of mitochondrial oxidative phosphorylation (FCCP) caused a  
280 remarkable increase in the green / red fluorescence ratio, which represents depolarization of the  
281 mitochondrial membrane potential (Fig. 6A). The green / red ratio began to increase at 5 min after the  
282 onset of anoxia and continued to rise until 3 min after anoxia, and then declined in the three groups (Fig.  
283 6B). We compared % change in green / red ratio during the period from 2 to 3 min after anoxia among the  
284 three groups. Green / red ratios for the PostC and DZX groups were significantly higher than in the  
285 control group (Con: N = 7, PostC: N = 7, DZX: N = 5, Con vs PostC;  $r(2) = 0.70$ ,  $p < 0.05$ , Con vs DZX;  
286  $r(2) = 0.68$ ,  $p < 0.05$ ), and no significant difference was observed between the PostC and DZX groups  
287 (Fig. 6C). This result indicates that the mitochondrial membrane potential was more depolarized in the  
288 PostC and DZX groups than the control group during the early reperfusion period. In other words, both  
289 ischemic and DZX PostC prevented the mitochondrial inner membrane from rapid depolarization to the  
290 normal matrix-negative state after anoxia.

291

### 292 **Discussion**

293 In the present study, both ischemic PostC and chemical PostC with DZX reduced gross NMDAR  
294 conductance during the early reperfusion period, which resembles the innate ability of neurons in western

295 colored turtles to resist hypoxic conditions. In our experimental model system using hippocampal slices,  
296 we found that NMDAR activation played a crucial role in anoxia-induced elevation of cytosolic  $[Ca^{2+}]$ ,  
297 and that ischemic and chemical PostC potently suppressed the elevation in cytosolic  $[Ca^{2+}]$  after anoxia.  
298 Furthermore, we confirmed that ischemic and chemical PostC depolarized the mitochondrial inner  
299 membrane during the early reperfusion period, which underscores the importance of the electrical  
300 potential of mitochondrial membrane in PostC mechanisms.

301 It is well known that excessive accumulation of cytosolic  $Ca^{2+}$  caused by anoxia is the ultimate trigger for  
302 subsequent cellular injury through the activation of many enzymes (proteinases, phospholipases, nitric  
303 oxide synthases, and others) (Benveniste et al. 1984; Kristian et al. 1998). In this experimental system, we  
304 observed that cytosolic  $[Ca^{2+}]$  started to rise after ischemic perfusion, did not cease to rise after anoxia,  
305 and remained at levels greater than the pre-anoxic period for 20 min. This prolonged cytosolic  $[Ca^{2+}]$   
306 elevation may represent the essential nature of anoxia induced cytosolic  $[Ca^{2+}]$  elevation as a triggering  
307 event of catastrophic consequences, and suggests the existence of a anoxia-specific mechanism for  
308 maintaining high levels of cytosolic  $[Ca^{2+}]$ . Since the removal of  $Ca^{2+}$  from aCSFs suppressed most of the  
309 prolonged cytosolic  $[Ca^{2+}]$  elevation in this experiment, the main source of an increase in cytosolic  $[Ca^{2+}]$   
310 appears to be  $Ca^{2+}$  influx from the extracellular fluid through voltage-dependent  $Ca^{2+}$  channels and  
311 NMDA receptors. Furthermore, in this experiment, the blockade of NMDAR strongly suppressed the  
312 prolonged  $[Ca^{2+}]$  elevation after anoxia. Therefore, it is likely that NMDAR functions as the primal gate  
313 for  $Ca^{2+}$  influx during the early reperfusion period.

314 In the present experiment, we found that ischemic PostC and chemical PostC with DZX reduced the  
315 amplitude of whole-cell inward current induced by NMDA puff applied to the cell body. The observed  
316 current may originate in the activation of extra- and pre-synaptic receptors as well as postsynaptic  
317 receptors. This result indicates that the whole-cell conductance of NMDAR channels is down-regulated  
318 by an intracellular mechanism. Zhang suggested that NMDAR mediates PostC-induced neuroprotection

319 (Zhang et al. 2015). We also confirmed that ischemic PostC and DZX PostC suppressed cytosolic  $[Ca^{2+}]$   
320 elevation after anoxia. Our results suggest that the down-regulated NMDAR conductance disrupts the  
321 positive-feedback loop for  $Ca^{2+}$  influx and suppresses the prolonged cytosolic  $[Ca^{2+}]$  elevation after  
322 anoxia.

323 Hawrych and Buck suggested that a modest elevation in cytosolic  $[Ca^{2+}]$ , which is released through mPTP  
324 in response to anoxia, caused the downregulation of NMDAR conductance in turtle neurons (Hawrysh et  
325 al. 2013). Since we observed that cytosolic  $[Ca^{2+}]$  began to rise after ischemia and continued to increase  
326 after reperfusion, it seems unlikely that the elevation in cytosolic  $[Ca^{2+}]$  was caused by the ischemic or  
327 chemical PostC rather than the I/R schedule alone. A possible explanation is that a  $Ca^{2+}$ -dependent  
328 mechanism that functions to reduce NMDAR conductance may be disturbed by the control I/R schedule,  
329 whereas ischemic and chemical PostCs permit the mechanism to function after reperfusion.

330 It has been demonstrated that the opening of mito- $K_{ATP}$  channels is involved in ischemic PostC (Robin et  
331 al. 2011; Yokoyama et al. 2019) and in chemical PostC using a volatile anesthetic, isoflurane (Jiang et al.  
332 2006; Lee et al. 2008). In the present experiment, we found that the mito- $K_{ATP}$  channel opener DZX,  
333 when applied after anoxia, reduced NMDAR conductance, and that the mito- $K_{ATP}$  channel blocker 5-HD  
334 blocked the ischemic PostC effect reducing NMDAR conductance. Another important observation was  
335 that CsA, which inhibits mPTP opening, also blocked the ischemic PostC effect reducing NMDAR  
336 conductance. These results indicate that the opening of mito- $K_{ATP}$  channels and mPTP mediate the process  
337 that promotes the reduction in NMDAR conductance. Sun et al. reported that the inhibition of mPTP  
338 opening by CsA suppressed I/R induced brain damage, and that the application of the mPTP opener  
339 atractyloside blocked the neuroprotective effects of ischemic PostC (Sun et al. 2012). These previous  
340 results appear to contradict the present finding. This discrepancy may be related to the mode of mPTP  
341 opening in the experiments. The low-conductance mode of mPTP opening may intermittently occur under  
342 physiological conditions for cellular homeostasis, whereas the high-conductance mode of mPTP opening

343 is likely to induce a catastrophic process of cell injury (Brenner et al. 2012). Therefore, the previous  
344 results might be due to inhibition or activation of the high-conductance mode of mPTP opening.  
345 Moreover, it is likely that the low-conductance mode of mPTP opening prevents high-conductance mode  
346 opening and served to reduce NMDAR conductance in the present experiment. It is possible that the high-  
347 conductance mode of mPTP opening induces excessive elevation in cytosolic  $\text{Ca}^{2+}$  and disturbs the  
348 reduction in NMDAR conductance. It has been reported that DZX dose-dependently inhibits succinate  
349 dehydrogenase (complex II) activity to reduce succinate oxidation in cardiac myocyte (Dzeja et al. 2003;  
350 Hanley et al. 2002; Lim et al. 2002). Since DZX may inhibit sufficiently succinate dehydrogenase activity  
351 in this study, the inhibited succinate dehydrogenase activity can bring about suppressive effect of DZX. In  
352 addition, it has been shown that DZX inhibits succinate dehydrogenase activity without changing the  
353 electrical potential of mitochondrial inner membrane, and that metabolized 5-HD provides a substrate for  
354  $\beta$  oxidation (Drose et al. 2006; Hanley et al. 2002). Whether the proposition that mito- $\text{K}_{\text{ATP}}$  channels are  
355 not involved in the actions of diazoxide and 5-HD is applicable to brain neuronal cells remains to be  
356 determined.

357 In this study, we demonstrated that both ischemic PostC and chemical PostC with DZX temporally  
358 depolarized the mitochondrial inner membrane after anoxia, which suggests the suppression of a fast  
359 restoration of a matrix-negative membrane potential from the depolarized state due to the anoxia-induced  
360 cessation of proton-pumping although the effect of PostC and DZX in the presence of 5-HD is unclear.  
361 We propose a hypothetical mechanism for protection against the large-conductance mode of mPTP  
362 opening by the opening of mito- $\text{K}_{\text{ATP}}$  channels. The mitochondrial  $\text{Ca}^{2+}$  uniporter (MCU) together with  
363 mPTP appear to function as essential components of this mechanism. MCU is a  $\text{Ca}^{2+}$  selective channel  
364 present on the mitochondrial inner membrane, and the net  $\text{Ca}^{2+}$  transfer through the MCU requires an  
365 electrochemical driving force generated by the matrix-negative electrical potential ( $\Delta\Psi$ ) and  $[\text{Ca}^{2+}]$   
366 gradient across the mitochondrial inner membrane. Under normal physiological conditions, the uptake of

367  $\text{Ca}^{2+}$  through the MCU is believed to be counter-balanced by release through mitochondrial  $\text{Na}^+$ -  $\text{Ca}^{2+}$   
368 exchangers. At the end of ischemia, the cytosolic  $[\text{Ca}^{2+}]$  has accumulated to high levels. Since the driving  
369 force for  $\text{Ca}^{2+}$  (i.e.  $\Delta\Psi$ ) has been lost, the matrix  $[\text{Ca}^{2+}]$  is expected to be roughly equivalent to that of the  
370 cytosol. As  $\text{pO}_2$  and glucose levels normalize during reperfusion, mitochondrial respiration begins to  
371 polarize the inner membrane and produce ATP. The restored matrix-negative electrical potential drives  
372  $\text{Ca}^{2+}$  uptake into the matrix through the MCU. Since the cell membrane remains depolarized at this time  
373 point, due to accumulated extracellular glutamate,  $\text{Ca}^{2+}$  continues to flow through NMDA receptors to the  
374 cytosol and subsequently transfers into the matrix by  $\Delta\Psi$ , which ultimately results in excessive  $\text{Ca}^{2+}$   
375 accumulation in the matrix and mPTP opening. The opening of mito- $\text{K}_{\text{ATP}}$  channels by ischemic or  
376 chemical PostC causes a depolarization of the mitochondrial membrane potential, as was observed herein  
377 during the early period of reperfusion. This reduces the driving force for  $\text{Ca}^{2+}$  influx, and the excessive  
378  $\text{Ca}^{2+}$  accumulation in the matrix is abrogated, which avoids the high-conductance mode of mPTP  
379 opening.

380 In our considerations of mPTP opening, we implicitly presumed that the  $\text{Ca}^{2+}$  concentration needed for  
381 low-conductance mode mPTP opening might be lower than for high-conductance mode opening;  
382 however, the detailed properties of the low-conductance mode of mPTP opening and the involvement of  
383 MCU function remain to be clarified. Recently, Urbani et al. reported that an artificial channel composed  
384 of F-ATP synthase can reproduce the phenomena of mPTP in planar lipid bilayers, and suggested that the  
385 molecular substrate of mPTP is the F-ATP synthase oligomer (Urbani et al. 2019). Mitochondrial  
386 potassium transport such as  $\text{K}^+$  uniporter and  $\text{K}^+/\text{H}^+$  antiporter is also associated with matrix volume  
387 homeostasis and cell signaling as well as mito- $\text{K}_{\text{ATP}}$  channel (Brierley et al. 1976; Garlid et al. 2003;  
388 Nowikovsky et al. 2009; Szabo et al. 2012). However, the beneficial effect of mitochondrial  $\text{K}^+$  uniporter  
389 and  $\text{K}^+/\text{H}^+$  antiporter for PostC has not been elucidated. mPTP opening and cell survival may also depend  
390 on additional factors including Bax for  $\text{Kv}1.3$  channels.

391 Understanding the molecular nature of mPTP may propel further studies to elucidate the mechanism and  
392 function of the low-conductance mode of mPTP opening. Further additional experimental groups to prove  
393 additive and synergistic effects for the mechanism, including postC + DZX to validate whether postC  
394 works through mito-K<sub>ATP</sub> channel opening, DCX + CsA to assess mPTP opening under conditions of  
395 mito-K<sub>ATP</sub> channel opening, and postC + DL-AP5 to validate that postC works through NMDAR opening  
396 could allow to understand the precise mechanism of postconditioning. Further studies, including a  
397 pathological and molecular biological approach, will be required to prove the precise mechanism  
398 underlying the involvement of mPTP in PostC and its translation into clinical practice.

399

#### 400 **Conclusions**

401 Ischemic PostC suppresses Ca<sup>2+</sup> influx into the cytoplasm by reducing NMDAR conductance through  
402 mPTP opening. Furthermore, ischemic PostC depolarized the mitochondrial inner membrane during the  
403 early reperfusion period, indicating the importance of the electrical potential of mitochondrial membranes  
404 in PostC mechanisms.

405

406

407

#### 408 **References**

- 409 Benveniste, H.; Drejer, J.; Schousboe, A.; Diemer, N. H., Elevation of the extracellular concentrations of glutamate  
410 and aspartate in rat hippocampus during transient cerebral ischemia monitored by intracerebral microdialysis. *J*  
411 *Neurochem* **1984**, 43, (5), 1369-74.
- 412 Bickler, P. E.; Donohoe, P. H.; Buck, L. T., Hypoxia-induced silencing of NMDA receptors in turtle neurons. *J*  
413 *Neurosci* **2000**, 20, (10), 3522-8.
- 414 Brenner, C.; Moulin, M., Physiological roles of the permeability transition pore. *Circulation research* **2012**, 111,  
415 (9), 1237-47.
- 416 Briërley, G. P., The uptake and extrusion of monovalent cations by isolated heart mitochondria. *Mol Cell Biochem*  
417 **1976**, 10, (1), 41-63.

418 Carden, D. L.; Granger, D. N., Pathophysiology of ischaemia-reperfusion injury. *J Pathol* **2000**, 190, (3), 255-66.  
419 30. Drose, S.; Brandt, U.; Hanley, P. J., K<sup>+</sup>-independent actions of diazoxide question the role of inner  
420 membrane KATP channels in mitochondrial cytoprotective signaling. *J Biol Chem* **2006**, 281, (33), 23733-9.  
421 Dzeja, P. P.; Bast, P.; Ozcan, C.; Valverde, A.; Holmuhamedov, E. L.; Van Wylen, D. G.; Terzic, A., Targeting  
422 nucleotide-requiring enzymes: implications for diazoxide-induced cardioprotection. *American journal of*  
423 *physiology. Heart and circulatory physiology* **2003**, 284, (4), H1048-56.  
424 Garlid, K. D.; Dos Santos, P.; Xie, Z. J.; Costa, A. D.; Paucek, P., Mitochondrial potassium transport: the role of the  
425 mitochondrial ATP-sensitive K<sup>(+)</sup> channel in cardiac function and cardioprotection. *Biochim Biophys Acta* **2003**,  
426 1606, (1-3), 1-21.  
427 Garlid, K. D.; Paucek, P., Mitochondrial potassium transport: the K<sup>(+)</sup> cycle. *Biochim Biophys Acta* **2003**, 1606, (1-3),  
428 23-41.  
429 Hanley, P. J.; Mickel, M.; Loffler, M.; Brandt, U.; Daut, J., K(ATP) channel-independent targets of diazoxide and 5-  
430 hydroxydecanoate in the heart. *J Physiol* **2002**, 542, (Pt 3), 735-41.  
431 Haworth, R. A.; Hunter, D. R., The Ca<sup>2+</sup>-induced membrane transition in mitochondria. II. Nature of the Ca<sup>2+</sup> trigger  
432 site. *Arch Biochem Biophys* **1979**, 195, (2), 460-7.  
433 Hawrysh, P. J.; Buck, L. T., Anoxia-mediated calcium release through the mitochondrial permeability transition pore  
434 silences NMDA receptor currents in turtle neurons. *J Exp Biol* **2013**, 216, (Pt 23), 4375-87.  
435 Hunter, D. R.; Haworth, R. A., The Ca<sup>2+</sup>-induced membrane transition in mitochondria. I. The protective mechanisms.  
436 *Arch Biochem Biophys* **1979**, 195, (2), 453-9.  
437 Hunter, D. R.; Haworth, R. A., The Ca<sup>2+</sup>-induced membrane transition in mitochondria. III. Transitional Ca<sup>2+</sup> release.  
438 *Arch Biochem Biophys* **1979**, 195, (2), 468-77.  
439 Jiang, X.; Shi, E.; Nakajima, Y.; Sato, S., Postconditioning, a series of brief interruptions of early reperfusion, prevents  
440 neurologic injury after spinal cord ischemia. *Ann Surg* **2006**, 244, (1), 148-53.  
441 Kis, B.; Rajapakse, N. C.; Snipes, J. A.; Nagy, K.; Horiguchi, T.; Busija, D. W., Diazoxide induces delayed pre-  
442 conditioning in cultured rat cortical neurons. *J Neurochem* **2003**, 87, (4), 969-80.  
443 Kitagawa, K.; Matsumoto, M.; Tagaya, M.; Hata, R.; Ueda, H.; Niinobe, M.; Handa, N.; Fukunaga, R.; Kimura, K.;  
444 Mikoshiba, K.; et al., 'Ischemic tolerance' phenomenon found in the brain. *Brain Res* **1990**, 528, (1), 21-4.  
445 Kristian, T.; Siesjo, B. K., Calcium in ischemic cell death. *Stroke; a journal of cerebral circulation* **1998**, 29, (3), 70.  
446 Lee, J. J.; Li, L.; Jung, H. H.; Zuo, Z., Postconditioning with isoflurane reduced ischemia-induced brain injury in rats.  
447 *Anesthesiology* **2008**, 108, (6), 1055-62.  
448 Liang HW, Xia Q, Bruce IC (2005) Reactive oxygen species mediate the neuroprotection conferred by a mitochondrial  
449 ATP-sensitive potassium channel opener during ischemia in the rat hippocampal slice. *Brain Res* 1042  
450 (2):169-175. doi:10.1016/j.brainres.2005.02.031  
451 Lim, K. H.; Javadov, S. A.; Das, M.; Clarke, S. J.; Suleiman, M. S.; Halestrap, A. P., The effects of ischaemic  
452 preconditioning, diazoxide and 5-hydroxydecanoate on rat heart mitochondrial volume and respiration. *J Physiol*  
453 **2002**, 545, (3), 961-74.

454 Mayor, D.; Tymianski, M., Neurotransmitters in the mediation of cerebral ischemic injury. *Neuropharmacology* **2018**,  
455 134, (Pt B), 178-188.

456 Nagai, N.; Yoshioka, C.; Ito, Y.; Funakami, Y.; Nishikawa, H.; Kawabata, A., Intravenous Administration of Cilostazol  
457 Nanoparticles Ameliorates Acute Ischemic Stroke in a Cerebral Ischemia/Reperfusion-Induced Injury Model.  
458 *International journal of molecular sciences* **2015**, 16, (12), 29329-44.

459 Nakagawa, I.; Nakase, H.; Aketa, S.; Kamada, Y.; Yamashita, M.; Sakaki, T., ATP-dependent potassium channel  
460 mediates neuroprotection by chemical preconditioning with 3-nitropropionic acid in gerbil hippocampus. *Neurosci*  
461 *Lett* **2002**, 320, (1-2), 33-6.

462 Nour, M.; Scalzo, F.; Liebeskind, D. S., Ischemia-reperfusion injury in stroke. *Interv Neurol* **2013**, 1, (3-4), 185-99.

463 Nowikovsky, K.; Schweyen, R. J.; Bernardi, P., Pathophysiology of mitochondrial volume homeostasis: potassium  
464 transport and permeability transition. *Biochim Biophys Acta* **2009**, 1787, (5), 345-50.

465 Robin, E.; Simerabet, M.; Hassoun, S. M.; Adamczyk, S.; Tavernier, B.; Vallet, B.; Bordet, R.; Lebuffe, G.,  
466 Postconditioning in focal cerebral ischemia: role of the mitochondrial ATP-dependent potassium channel. *Brain*  
467 *Res* **2011**, 1375, 137-46.

468 Sun, J.; Luan, Q.; Dong, H.; Song, W.; Xie, K.; Hou, L.; Xiong, L., Inhibition of mitochondrial permeability transition  
469 pore opening contributes to the neuroprotective effects of ischemic postconditioning in rats. *Brain Res* **2012**, 1436,  
470 101-10.

471 Szabo, I.; Leanza, L.; Gulbins, E.; Zoratti, M., Physiology of potassium channels in the inner membrane of  
472 mitochondria. *Pflugers Arch* **2012**, 463, (2), 231-46.

473 Szydłowska, K.; Tymianski, M., Calcium, ischemia and excitotoxicity. *Cell Calcium* **2010**, 47, (2), 122-9.

474 Urbani, A.; Giorgio, V.; Carrer, A.; Franchin, C.; Arrigoni, G.; Jiko, C.; Abe, K.; Maeda, S.; Shinzawa-Itoh, K.; Bogers,  
475 J. F. M.; McMillan, D. G. G.; Gerle, C.; Szabo, I.; Bernardi, P., Purified F-ATP synthase forms a Ca<sup>2+</sup>-dependent  
476 high-conductance channel matching the mitochondrial permeability transition pore. *Nat Commun* **2019**, 10, (1),  
477 4341.

478 Wang, J. Y.; Shen, J.; Gao, Q.; Ye, Z. G.; Yang, S. Y.; Liang, H. W.; Bruce, I. C.; Luo, B. Y.; Xia, Q., Ischemic  
479 postconditioning protects against global cerebral ischemia/reperfusion-induced injury in rats. *Stroke; a journal of*  
480 *cerebral circulation* **2008**, 39, (3), 983-90.

481 Yin, X. H.; Zhang, Q. G.; Miao, B.; Zhang, G. Y., Neuroprotective effects of preconditioning ischaemia on ischaemic  
482 brain injury through inhibition of mixed-lineage kinase 3 via NMDA receptor-mediated Akt1 activation. *J*  
483 *Neurochem* **2005**, 93, (4), 1021-9.

484 Yokoyama, S.; Nakagawa, I.; Ogawa, Y.; Morisaki, Y.; Motoyama, Y.; Park, Y. S.; Saito, Y.; Nakase, H., Ischemic  
485 postconditioning prevents surge of presynaptic glutamate release by activating mitochondrial ATP-dependent  
486 potassium channels in the mouse hippocampus. *PloS one* **2019**, 14, (4), e0215104.

487 Zhao, H.; Sapolsky, R. M.; Steinberg, G. K., Interrupting reperfusion as a stroke therapy: ischemic postconditioning  
488 reduces infarct size after focal ischemia in rats. *Journal of cerebral blood flow and metabolism : official journal of*  
489 *the International Society of Cerebral Blood Flow and Metabolism* **2006**, 26, (9), 1114-21.

490 Zhao, H., Ischemic postconditioning as a novel avenue to protect against brain injury after stroke. *Journal of cerebral*  
491 *blood flow and metabolism : official journal of the International Society of Cerebral Blood Flow and Metabolism*  
492 **2009**, 29, (5), 873-85.  
493 Zhao, Z. Q.; Corvera, J. S.; Halkos, M. E.; Kerendi, F.; Wang, N. P.; Guyton, R. A.; Vinten-Johansen, J., Inhibition of  
494 myocardial injury by ischemic postconditioning during reperfusion: comparison with ischemic preconditioning.  
495 *American journal of physiology. Heart and circulatory physiology* **2003**, 285, (2), H579-88.  
496 Zhang X, Zhang Q, Tu J, Zhu Y, Yang F, Liu B, Brann D, Wang R (2015) Prosurvival NMDA 2A receptor signaling  
497 mediates postconditioning neuroprotection in the hippocampus. *Hippocampus* 25 (3):286-296.  
498  
499

## 500 **Figure Legends**

501 Figure 1. Diagrams representing the time schedules of ischemia and drug administration for each  
502 perfusion protocol. In each protocol, data were collected for 25 min; from 5 min before and 20 min after  
503 anoxia. The black bands indicate the anoxic period. Yellow, pink and gray bands indicate the  
504 administration of diazoxide, 5-HD, cyclosporine A into the aCSF, respectively.  
505

506 Figure 2. Effects of ischemic postconditioning and diazoxide administration after anoxia on NMDA-  
507 induced currents recorded from voltage-clamped hippocampal pyramidal neurons. A, Typical traces of  
508 NMDA-induced currents for Control, PostC, DZX, and PostC+CsA groups before anoxia, at the end of  
509 anoxia and 5 min after anoxia. Inward currents are represented as downward deflections. For the PostC  
510 group, the NMDA-induced current was reduced with no waveform modification 5 min after anoxia. For  
511 the Control group, no apparent change in NMDA-induced current was observed. B, Dotted graph  
512 presenting the change in median peak amplitude of NMDA-induced current during the period from 10 to  
513 20 min after anoxia for the Control, PostC, DZX and CsA + PostC groups. Values are given as percent  
514 change relative to the average peak amplitude during the 5 min preceding anoxia. Asterisks indicate  
515 significant differences by post-hoc pairwise comparisons ( $p < 0.05$ ).  
516

517 Figure 3. Effects of ischemic postconditioning and diazoxide administration after anoxia on cytosolic  
518  $\text{Ca}^{2+}$  concentrations. A, Time course of change in Fura-2 ratio during the pre-anoxia, anoxia and  
519 reperfusion periods. The percentages are calculated relative to the average values observed during the 5  
520 min pre-anoxic period. The red horizontal bar indicates the reperfusion period. The pink band represents  
521 the period used for statistical analysis. B, Dotted graph of median percent change in Fura-2 ratio during  
522 7.5 to 12.5 min after 7.5 minutes of anoxia (pink band in B). Asterisks indicate significant differences by  
523 post-hoc pairwise comparisons ( $p < 0.05$ ).

524

525 Figure 4. Effect of removal of extracellular  $\text{Ca}^{2+}$  on cytosolic  $\text{Ca}^{2+}$  concentrations. "Ca0" denotes the  
526 exclusion of  $\text{Ca}^{2+}$  from normal and glucose-free aCSF. The data for Con are the same as those shown in  
527 Fig. 3. A, Time course of change in Fura-2 ratio during the pre-anoxia, anoxia and reperfusion periods.  
528 The percentages are calculated relative to the average values observed during the 5 min pre-anoxic  
529 period. Red horizontal bar indicates the reperfusion period. Pink band represents the period used for  
530 statistical analysis. B, Dotted graph of median percent change in Fura-2 ratio during 5 to 10 min after 7.5  
531 minutes of anoxia (pink band in B). Asterisks indicate significant differences by post-hoc pairwise  
532 comparisons ( $p < 0.05$ ).

533

534 Figure 5. Effect of NMDAR blocking on cytosolic  $\text{Ca}^{2+}$  concentrations. "NMDA block" denotes that both  
535 the normal and glucose-free aCSFs contained a NMDAR blocker, DL-AP5 ( $5 \mu\text{mol/L}$ ). The data for Con  
536 and PostC are the same as those shown in Fig. 3. A, Time course of change in Fura-2 340/380 ratio during  
537 the pre-ischemia, ischemic and reperfusion periods. The percentages are calculated against the averaged  
538 value for 5 min before ischemia. Red horizontal bar indicates ischemic perfusion period. Pink band  
539 represents the period for statistical analysis. B, Dotted graph of percent change in Fura-2 340/380 ratio,  
540 median data from 5 min to 10 min after 7.5 minutes of anoxia (pink band in A). Asterisks indicate

541 significant differences in post-hoc pairwise comparisons ( $p < 0.05$ ).

542

543 Figure 6. Changes in the mitochondrial membrane potential estimated with JC1 fluorescence during the  
544 pre-anoxia, anoxic and reperfusion periods. A, JC1 ratio (green/red) change in response to the  
545 administration of a protonophoric uncoupler, FCCP. A surging elevation in green/red fluorescent ratio  
546 following FCCP administration is observed, which indicates the depolarization of the mitochondrial inner  
547 membrane. B, Time course of change in JC1 green/red ratio during the pre-anoxia, anoxic and reperfusion  
548 periods. The percentages are calculated relative to the averaged values observed during the 5 min pre-  
549 anoxic period. Red horizontal bar indicates the reperfusion period. Pink band represents the period used  
550 for statistical analysis. C, Dotted graph of percent change in JC1 green/red ratio, median data from the 2  
551 min to 3 min reperfusion period (pink band in C). Asterisks indicate significant differences in post-hoc  
552 pairwise comparisons ( $p < 0.05$ ).

553

554 Figure 7

555 Possible mechanism of ischemic PostC. Ischemic PostC suppresses  $Ca^{2+}$  influx into cytoplasm and causes  
556 neuroprotection by reducing NMDAR conductance through an mPTP low conductance mode opening.  
557 Furthermore, the opening of mito- $K_{ATP}$  channels by ischemic PostC causes a depolarization of  
558 mitochondrial membrane potential ( $\Delta \phi$ ). Then, the driving force for  $Ca^{2+}$  influx via MCU is lowered,  
559 which avoids mPTP from the high conductance mode opening

560

561 Supplementary figure 1

562 Representative microphotographs showing changes in Fura-2 emissions resulting from excitation at 340  
563 and 380 nm for the control group. The elevation in the Fura-2 ratio (340/380 ratio) represents an increase  
564 in cytosolic  $Ca^{2+}$  concentration. Scale bar: 10  $\mu m$ .

565 Supplementary figure 2

566 Representative microphotographs of JC1 fluorescence in a hippocampal slice for the control group. Left:

567 Infrared differential interference contrast image; Middle: Green fluorescent image excited at 477 nm;

568 Right: Red fluorescent image excited at 548 nm. Scale bar: 10  $\mu\text{m}$ .