

1 **Ischemic postconditioning reduces NMDA receptor currents through the opening of the**
2 **mitochondrial permeability transition pore and K_{ATP} channel in mouse neurons**

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11 Cover title: Ischemic PostC reduces NMDAR currents via mPTP

12 Number of figures: 6

13 Keywords: Ischemic postconditioning, NMDA receptor, mitochondrial K_{ATP} channel, mitochondrial
14 permeability transition pore, Ca^{2+}

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25 **Acknowledgments:** None

26 **Funding:** This study was supported by JSPS KAKENHI Grant Number JP16K10735.

27 **Conflicts of Interest:** The authors declare that they have no conflict of interest.

28 **Data Availability:** The datasets of the current study are available upon request with no restriction.

29 **Author Contributions:** Conception and design or analysis and interpretation of data, or both; YM, IN,

30 YO. Drafting of the manuscript or revising it critically for important intellectual content; YM, IN, YO,

31 SY, TF, YS, HN. Final approval of the manuscript submitted; IN, YS, HN.

32

33 **Abstract:**

34 Ischemic postconditioning (PostC) is known to reduce cerebral ischemia/reperfusion (I/R) injury,
35 however, whether the opening of mitochondrial ATP-dependent potassium (mito-K_{ATP}) channels and
36 mitochondrial permeability transition pore (mPTP) cause the depolarization of the mitochondrial
37 membrane remains unknown. We examined the involvement of the mito-K_{ATP} channel and the mPTP in
38 the PostC mechanism. Ischemic PostC consisted of three cycles of 15 s reperfusion and 15 s re-ischemia,
39 and was started 30 s after the 7.5 min ischemic load. We recorded N-methyl-D-aspartate receptors
40 (NMDAR)-mediated currents and measured cytosolic Ca²⁺ concentrations, and mitochondrial membrane
41 potentials in mouse hippocampal pyramidal neurons. Both ischemic PostC and the application of a mito-
42 K_{ATP} channel opener, diazoxide, reduced NMDAR-mediated currents and suppressed cytosolic Ca²⁺
43 elevations during the early reperfusion period. An mPTP blocker, cyclosporine A, abolished the reducing
44 effect of PostC on NMDAR currents. Furthermore, both ischemic PostC and the application of diazoxide
45 potentiated the depolarization of the mitochondrial membrane potential. These results indicate that
46 ischemic PostC suppresses Ca²⁺ influx into the cytoplasm by reducing NMDAR-mediated currents
47 through mPTP opening. The present study suggests that depolarization of the mitochondrial membrane
48 potential by opening of the mito-K_{ATP} channel is essential to the mechanism of PostC in neuroprotection
49 against anoxic injury.

50

51 **Keywords:** Ischemic postconditioning; NMDA receptor; mitochondrial K_{ATP} channel; mitochondrial
52 permeability transition pore; Ca²⁺

53

54

55 **Introduction**

56 Brain tissue ischemia-reperfusion (I/R) injury is a common characteristic of ischemic stroke which occurs
57 when blood supply is restored after a period of ischemia. Although reperfusion is the main treatment for
58 acute ischemic stroke (AIS), it can also worsen tissue damage and limit the recovery of function. It has
59 been shown that the mechanisms underlying I/R injury include leukocyte infiltration, platelet activation,
60 oxidative stress, complement activation, mitochondria-mediated mechanisms, disruption of the blood
61 brain barrier, and ultimately post-ischemic hyperperfusion leading to edema or hemorrhagic
62 transformation (Carden et al. 2000; Nagai et al. 2015; Nour et al. 2013; Zhao et al. 2009).

63 A phenomenon whereby ischemic tolerance can be obtained by intermittently applying ischemic loads
64 prior to lethal ischemia has been called ischemic preconditioning (IPC) (Kitagawa et al. 1990; Nakagawa
65 et al. 2002; Yin et al. 2005). Although IPC has been proven to have a remarkable neuroprotective effect
66 for cerebral I/R injury, clinical application of the IPC mechanism for AIS is impractical unless the onset
67 of AIS can be predicted. However, it has been found that intermittent ischemic loads after severe ischemia
68 can also suppress I/R injury, which is termed postconditioning (PostC) (Wang et al. 2008; Zhao et al.
69 2006; Zhao et al. 2003). Since the onset time of reperfusion after AIS can be predictable or controllable in
70 clinic settings, the concept of PostC could lead to establishing new therapeutic modalities in addition to
71 intravenous tissue plasminogen activator (tPA) treatment and mechanical thrombectomy. Previous studies
72 have demonstrated that PostC is mediated by opening of mitochondrial ATP-dependent potassium (mito-
73 K_{ATP}) channels (Kis et al. 2003; Robin et al. 2011) and we previously reported that the opening of mito-
74 K_{ATP} channels is involved in the suppressive effect of ischemic PostC on excessive synaptic glutamate
75 release and in protection against neuronal death (Yokoyama et al. 2019). However, the more detailed
76 mechanism by which the opening of mito- K_{ATP} channels exerts neuroprotective effects in PostC has not
77 yet been elucidated.

78 One of the crucial elements of the cellular process involved in cerebral I/R injury is the N-methyl-D-

79 aspartate receptor (NMDAR). Activation of NMDAR by excessive glutamate release due to cerebral
80 ischemia exerts a harmful effect in acute cerebral ischemia (Mayor et al. 2018). Overactivation of
81 NMDAR increases cytosolic Ca^{2+} concentrations ($[\text{Ca}^{2+}]$), activates proteins such as caspases and
82 endonucleases, and ultimately leads to cell death (Szydłowska et al. 2010). Interestingly, the western
83 painted turtle, which is highly resistant to ischemia, exhibits decreased NMDAR currents during anoxia
84 (Bickler et al. 2000). Furthermore, Hawrysh et al. indicated that this mechanism involves the opening of
85 the mito- K_{ATP} channel (Hawrysh et al. 2013).

86 Another element participating in cerebral I/R injury is the mitochondrial permeability transition pore
87 (mPTP). The excessive accumulation of Ca^{2+} in the mitochondrial matrix and other pathological factors
88 causes the opening of mPTP. The mPTP has two modes of opening. One is the high-conductance mode,
89 which allows the passage of molecules with molecular weights up to about 1.5 kDa, and is mainly
90 involved in mitochondrial swelling and cell death (Haworth et al. 1979; Hunter et al. 1979; Hunter et al.
91 1979). The other is termed a low-conductance mode, and allows the passage of small molecules (< 300
92 Da) such as the inorganic ions Ca^{2+} , H^+ and K^+ . Hawrysh et al. reported that in western painted turtles the
93 low-conductance mode of mPTP opening reduces NMDAR conductance to obtain ischemic tolerance
94 (Haworth et al. 1979). It has been presumed that the low-conductance mode of mPTP opening, together
95 with mito- K_{ATP} channel opening, depolarizes the matrix membrane potential ($\Delta\Psi$), causes mitochondrial
96 Ca^{2+} release, and consequently lowers NMDAR currents during anoxia. However, whether the opening of
97 mito- K_{ATP} channels and mPTP actually cause the depolarization of the mitochondrial membrane remains
98 unknown.

99 In the present study, we hypothesized that the anoxic tolerance mechanisms in western painted turtles
100 might also be applicable to PostC in mammals. We analyzed NMDAR currents, cytosolic Ca^{2+}
101 concentrations, and mitochondrial membrane potential changes under ischemia or chemical PostC in
102 hippocampal pyramidal neurons using whole-cell patch-clamp techniques.

103

104 **Materials and Methods**

105 **Preparation of mouse hippocampal slices**

106 All experimental procedures were approved by the animal care and use committee of Nara Medical
107 University (No.12102). All experimental procedures were conducted in accordance with the guidelines
108 for appropriate implementation of animal experiments. C57BL/6J mice (81 males) were used in the
109 experiments. The mice were housed under a 12:12 light cycle with free access to food and water. Mice at
110 4-8 weeks of age were anesthetized with isoflurane and oxygen (0.05 V/V, administered via inhalation)
111 and killed by decapitation. The brain was removed quickly and immersed in an ice-cold solution
112 (composition in mmol/L: sucrose 230, KCl 2.5, NaHCO₃ 25, NaH₂PO₄ 1.25, CaCl₂ 0.5, MgSO₄ 10, D-
113 glucose 10) bubbled with 95% O₂ / 5% CO₂. Horizontal slices of the hippocampal formation and
114 adjacent cortices were cut at a 350 μ m-thickness in the above solution using a vibratome (Vibratome 1000
115 Plus 102, Pelco International, Redding, CA, USA). The slices were then incubated in a standard artificial
116 cerebrospinal fluid (aCSF, composition in mmol/L: NaCl 125, KCl 2.5, NaHCO₃ 25, NaH₂PO₄ 1.25,
117 CaCl₂ 2.0, MgCl₂ 1.0, D-glucose 10) bubbled with the same mixed gas at 32 °C for at least 1 h, and then
118 maintained in the aCSF at 27 °C. Three hippocampal slices were obtained per individual animal and used
119 for experimentation, thus N=12 consists of at least four separate animals.

120

121 **Patch-clamp recording**

122 Individual slices were placed in an 800 μ L recording chamber that was continuously perfused with the
123 gas-saturated aCSF at a flow rate of 2.0 mL/min. The temperature was maintained between 31 and 33 °C
124 by a regulated heater connected to the inflow. The recording chamber was mounted on a BX50WI upright
125 microscope (Olympus, Tokyo, Japan) equipped with infrared differential interference contrast (IR-DIC)
126 and epifluorescence imaging apparatuses. Whole-cell voltage-clamp recordings were made from the soma

127 of visually identified CA1 pyramidal cells using an EPC-9 patch-clamp amplifier (Heka,
128 Lambrecht/Pfalz, Germany). The holding potential was set to -70 mV. Patch pipettes were constructed
129 from thick-walled borosilicate glass capillaries and filled with an internal solution containing (mmol/L):
130 Cs-Gluconate: 141, CsCl: 4.0, MgCl₂: 2.0, HEPES: 10.0, Mg-ATP: 2.0, Na-GTP: 0.3, EGTA: 0.2, pH:
131 7.25 with CsOH. Pipette resistance was 2.5-3.5 MΩ. Whole-cell recordings were rejected if access
132 resistance was greater than 20 MΩ. To isolate glutamatergic excitatory post-synaptic currents (EPSCs), all
133 recordings were conducted in aCSF supplemented with the GABA_A and GABA_B antagonist picrotoxin
134 (50 μmol/L).

135

136 **Simulating ischemia and postconditioning in brain slices**

137 We simulated severe brain ischemia by exposing slices to a solution in which glucose and oxygen were
138 replaced with sucrose and nitrogen. Reperfusion for 20 min was performed after 7.5 minutes of ischemia.
139 Ischemic postconditioning (PostC) was started 30 s after 7.5 min of anoxia, and consisted of 3 anoxic
140 perfusions of 15 s separated by non-anoxic reperfusion of 15 s. To estimate the time-course of dissolved
141 oxygen levels in the recording chamber, O₂ partial pressure (pO₂) of the chamber solution was measured
142 using a Klerk electrode. When the anoxic perfusion started, the pO₂ began to lower rapidly, and then
143 approached a minimum value quasi-exponentially. Six minutes (360 s) after the onset of anoxic perfusion,
144 the pO₂ was lower than 10 % of the pre-anoxic oxygenated solution value. After anoxia, the recovery of
145 pO₂ appeared to be a mirror image of pO₂ reduction during anoxic perfusion. Three minutes (180 s) after
146 the anoxia, the pO₂ recovered to 90 % of the pre-anoxic level. The PostC procedure arrested the pO₂
147 recovery at about 40-50 % of the pre-anoxic level, and retarded the subsequent pO₂ recovery for about 90
148 s. In our previous study (Yokoyama et al. 2019) that utilized the same protocols as the present study, we
149 found that a surge of synaptic glutamate release occurred during the immediate-early reperfusion period,
150 and that the cumulative occurrence of synaptic glutamate release is positively correlated with the number

151 of neurons that died during the anoxia/ reperfusion period. We have also demonstrated that the ischemic
152 PostC, as well as administration diazoxide (DZX) after the anoxic perfusion, significantly suppress the
153 reperfusion surge in glutamate release. Therefore, these protocols are suitable for simulating ischemia and
154 PostC. Reperfusion lasted for 20 min after anoxia PostC. DZX, NMDA, DL-AP5, 5-hydroxydecanoate
155 (5-HD) and cyclosporine A (CsA) were purchased from Sigma-Aldrich, and picrotoxin was purchased
156 from Wako Pure Chemical.

157

158 **Perfusion protocols**

159 We examined the effects of ischemic PostC and chemical PostC using DZX (500 $\mu\text{mol/L}$; the
160 concentration for maximum neuroprotective effect, (Nakagawa et al. 2002)) on NMDAR currents,
161 cytosolic Ca^{2+} concentrations and mitochondrial membrane potentials, and tested whether the application
162 of 5-HD (200 $\mu\text{mol/L}$ with reference to previous studies (Liang et al. 2005; Pain et al. 2000)). blocks
163 these effects. We randomly assigned mouse hippocampal slices to the following groups (Fig. 1): (1)
164 control group (54 slices from 26 animals: 6 ± 0.2 weeks): the slices were exposed to 7.5 min of anoxia
165 and reperfusion with aCSF for 20 min; (2) PostC group (41 slices from 22 animals: 6 ± 0.2 weeks): after
166 7.5 min of anoxia and 30 s of reperfusion, the slices were exposed to the PostC procedure and then
167 reperused with aCSF; (3) DZX group (22 slices from 11 animals: 6 ± 0.3 weeks): after 7.5 min of anoxia,
168 the slices were perfused with aCSF containing DZX for 10 min, and then with normal aCSF for 10 min;
169 (4) PostC and 5-HD group (10 slices from 4 animals: 6 ± 0.3 weeks): both normal and glucose-free aCSFs
170 contained 5-HD throughout the recording period with the same anoxia / reperfusion schedule as the PostC
171 group; (5) PostC and CsA group (11 slices from 7 animals: 6 ± 0.5 weeks): after 5 min normal perfusion,
172 slices were perfused with CsA containing normal or glucose-free aCSF using an the same anoxia /
173 reperfusion schedule as the PostC group.

174

175 **Recording of whole-cell current responses to NMDA application**

176 To assess the sensitivity of NMDAR, whole-cell current responses to NMDA application were recorded.
177 NMDA (5 $\mu\text{mol/L}$) was puffed to the cell body for 80-160 ms with a micropipette similar to that used for
178 whole-cell recording. To reduce Mg^{2+} blocking of NMDAR channels, the neuron was voltage-clamped to
179 a holding potential of -55 mV during the pre- (1 s) and post-stimulation period (6 s). Experiments were
180 performed over 30-35 min periods, where NMDAR current recordings were made every 30 s.

181

182 **Fluorometric assessment of cytosolic Ca^{2+} changes**

183 To assess cytosolic changes in $[\text{Ca}^{2+}]$, Fura-2 fluorescence signals of whole-cell voltage-clamped
184 pyramidal cells were measured by adding 15 $\mu\text{mol/L}$ Fura-2 (DOJINDO, Kumamoto, Japan) to the
185 pipette solution. Fura-2 was excited every 10 s at 340 nm and 380 nm using a fast-switching multi-
186 wavelength illumination system (Lambda DG-4, Sutter Instrument, CA, USA). The fluorescent emission
187 was long-pass filtered at 510 nm, and a 500 nm dichroic mirror was used. The image was acquired using a
188 40 x water-immersion objective lens (LUMPlanFI/IR, Olympus, Japan) and a CCD camera (CoolSNAP
189 EZ, Photometrics, AZ, USA). The illumination and image acquisition were controlled with MetaMorph
190 software (Molecular Devices, CA, USA). A circular area (5 μm diameter) with maximum fluorescence
191 intensity located near the center of the soma was set as the region of interest (ROI). The ratio of mean
192 fluorescence intensity (340 nm excitation / 380 nm excitation) in the ROI was calculated.

193

194 **Fluorometric assessment of the mitochondrial membrane potential**

195 To assess the mitochondrial membrane potential, a fluorescent dye exhibiting a membrane potential-
196 dependent shift in emission wavelength, JC1 (Cayman Chemical, MI, USA), was loaded to the cytosol
197 through the patch pipette. The patch pipette was tip-filled with dye-free internal solution, and then back-
198 filled with dye-containing internal solution (2.0 $\mu\text{mol/L}$) just before use. The J-aggregated state (red

199 fluorescence) of JC1 was excited at 548 nm with a 580 nm dichroic mirror, and fluorescence emission
200 was long-pass filtered at 590 nm. The monomeric state (green fluorescence) of JC1 was excited at 477 nm
201 with a 500 nm dichroic mirror, and fluorescence emission was band-pass filtered between 515 and 565
202 nm. Fluorescence measurements were conducted at 30 s intervals using the same equipment as that used
203 for Fura-2. Since red fluorescence was distributed eccentrically around the nucleus, which was frequently
204 in a crescent formation, the ROI was defined as a hand drawn polygonal area covering the region of high
205 red fluorescence. The ratio of mean fluorescence intensity (green / red) in the ROI was calculated.
206 Mitochondrial depolarization induced JC1 fluorescence changes were confirmed by administering an
207 uncoupler of mitochondrial oxidative phosphorylation, 10 $\mu\text{mol/l}$ carbonilcyanide p-
208 triflouromethoxyphenylhydrazone (FCCP).

209

210 **Statistical analysis**

211 For comparisons of NMDA-induced current amplitudes and fluorescence ratios among groups, each
212 experimental value was expressed as a percentage relative to the mean value observed during the 5-min
213 pre-ischemia period for each pyramidal cell. Wilcoxon rank-sum tests are applied for the data were not
214 normally distributed. Error bars depicted in each dotted graph or sequential line plot indicate SDs of the
215 percentages. The percentage data were analyzed using a Kruskal-Wallis test followed by Dunn's multiple
216 comparisons test. Sample size and power calculations based on previous data were performed using an
217 effect size of 35%, power of 0.8 and alpha 0.05. We planned to perform at least 5 experiments in each
218 group. Significant effects were further tested with a post-hoc multiple comparison test (Holm-Šidák
219 method). Significance was set at $p < 0.05$. The results of the tests for normality and variance
220 heterogeneity were described as supplementary table.

221

222 **Results**

223 **Postconditioning silences NMDAR currents after anoxia**

224 To test the effects of ischemic PostC and chemical PostC on NMDAR currents, we randomly assigned
225 mouse hippocampal slices to the following groups (Fig. 1). The puff application of NMDA to CA1
226 pyramidal cells caused an inward current composed of a fast falling phase followed by a slow decay
227 phase, which lasted several seconds (Fig. 2A). Since the waveform of the NMDA induced currents
228 showed no apparent change throughout the anoxic period, we estimated gross NMDAR conductance by
229 measuring the peak amplitude of the NMDA induced currents. Both ischemic and chemical PostC
230 reduced NMDAR currents during the early reperfusion period. We compared the change in NMDAR
231 current amplitudes during the period from 10 to 20 min after anoxia among the control, PostC and CsA +
232 PostC groups (Fig. 2). NMDA-induced currents during the early reperfusion period were decreased to a
233 greater degree in the PostC group than in the control group (PostC: N = 9, control: N = 10, $r(3) = 0.48$, p
234 < 0.05) (Fig. 2B). NMDA-induced currents in the DZX group were reduced compared to control (N = 6,
235 $r(3) = 0.74$, $p < 0.05$) (Fig. 2B). Furthermore, NMDA-induced currents in the PostC group were lower
236 than in the CsA + PostC group (N = 11, $r(3) = 0.36$, $p < 0.05$) (Fig. 2B). These results indicate that PostC,
237 as well as the application of the mito- K_{ATP} channel opener DZX, silenced gross NMDAR conductance
238 during the early reperfusion period, and that the mPTP inhibitor CsA dissipated the suppressive effect of
239 PostC on NMDAR conductance.

240

241 **Postconditioning suppresses cytosolic Ca^{2+} increases via extracellular influx**

242 To assess the involvement of cytosolic $[Ca^{2+}]$ in PostC-induced neuroprotection, cytosolic $[Ca^{2+}]$ changes
243 were examined in the control, PostC, DZX, and 5-HD + PostC groups. During the anoxic period, the
244 Fura-2 ratio gradually increased, which indicates an increase in cytosolic $[Ca^{2+}]$. Cytosolic $[Ca^{2+}]$
245 continued to rise until 5 min after anoxia, and gradually decreased thereafter (Supplementary Fig. 1, Fig.
246 3A). We analyzed the changes in Fura-2 ratios during the period from 5 to 10 min after anoxia among the

247 groups. In the PostC and DZX groups the % change in Fura-2 ratio was significantly lower than in the
248 control group (Con: N = 14, PostC: N = 10, DZX: N = 11, Con vs PostC; $r(3) = 0.72$, $p < 0.05$, Con vs
249 DZX; $r(3) = 0.72$, $p < 0.05$) (Fig. 3A, B). In addition, the % change in Fura-2 ratio for the 5-HD + PostC
250 group was significantly higher than the PostC group (5-HD + PostC: N = 10, $r(3) = 0.87$, $p < 0.05$) (Fig.
251 3A, B). These results indicate that ischemic PostC and chemical PostC suppress cytosolic $[Ca^{2+}]$ elevation
252 during the early reperfusion period, and that blocking the mito- K_{ATP} channel prevents the ability of
253 ischemic PostC and to suppress cytosolic $[Ca^{2+}]$ elevation.

254 Furthermore, we determined whether the elevation in cytosolic $[Ca^{2+}]$ during the anoxic period was due
255 to release from cytosolic Ca^{2+} stores or influx from the extracellular fluid. To examine this, we evaluated
256 the Fura-2 ratio during the anoxic / reperfusion period using Ca^{2+} -free aCSF. With Ca^{2+} -free aCSFs
257 perfusion, the elevation in Fura-2 ratio during the late anoxia and early reperfusion period was less
258 prominent than in the presence of Ca^{2+} -containing aCSF, and the PostC procedure showed no detectable
259 effect (Fig. 4A). The change in Fura-2 ratio during the period from 5 to 10 min after anoxia in the control
260 group perfused with Ca^{2+} -containing aCSFs was higher than in the control and PostC groups perfused
261 with Ca^{2+} -free aCSFs (Con: N = 14, Con (Ca0): N = 14, PostC (Ca0): N = 15, Con vs Con(Ca0); $r(2) =$
262 0.79 , $p < 0.05$, Con vs PostC (Ca0); $r(2) = 0.78$, $p < 0.05$) (Fig. 4B). There was no significant difference in
263 the change of Fura-2 ratio during the period from 5 to 10 min after anoxia between the control and PostC
264 groups perfused with Ca^{2+} -free aCSFs. These results indicate that the prominent elevation in cytosolic
265 $[Ca^{2+}]$ observed during the anoxic period was mainly due to influx from the extracellular fluid.

266

267 **Activation of NMDA receptor mediates elevation in cytosolic Ca^{2+} concentration**

268 To confirm the involvement of NMDAR in cytosolic $[Ca^{2+}]$ elevation, we examined the effects of NMDA
269 blocking with a NMDA competitive inhibitor (DL-AP5, 5 $\mu\text{mol/L}$) on changes in cytosolic $[Ca^{2+}]$ during
270 anoxia. The addition of DL-AP5 to aCSFs suppressed the elevation in the Fura-2 ratio during anoxia (Fig.

271 5A). The Fura-2 ratio during the period from 5 to 10 min after anoxia in the control group perfused with
272 DL-AP5-containing aCSFs was significantly lower than in the control group perfused with aCSFs without
273 DL-AP5 (Con: N=11, Con (NMDAR block): N=9, $r(2) = 0.75$, $p < 0.05$) (Fig. 5B).

274

275 **Mitochondria temporally depolarize during ischemic PostC and chemical PostC with DZX**

276 To determine whether ischemic PostC and chemical PostC with DZX depolarize the mitochondrial inner
277 membrane after anoxia, changes in the mitochondrial membrane potential were examined in the control,
278 PostC and DZX groups. Microphotographs of a JC1 loaded pyramidal cell are showed at Supplementary
279 Fig. 2. Application of an uncoupler of mitochondrial oxidative phosphorylation (FCCP) caused a
280 remarkable increase in the green / red fluorescence ratio, which represents depolarization of the
281 mitochondrial membrane potential (Fig. 6A). The green / red ratio began to increase at 5 min after the
282 onset of anoxia and continued to rise until 3 min after anoxia, and then declined in the three groups (Fig.
283 6B). We compared % change in green / red ratio during the period from 2 to 3 min after anoxia among the
284 three groups. Green / red ratios for the PostC and DZX groups were significantly higher than in the
285 control group (Con: N = 7, PostC: N = 7, DZX: N = 5, Con vs PostC; $r(2) = 0.70$, $p < 0.05$, Con vs DZX;
286 $r(2) = 0.68$, $p < 0.05$), and no significant difference was observed between the PostC and DZX groups
287 (Fig. 6C). This result indicates that the mitochondrial membrane potential was more depolarized in the
288 PostC and DZX groups than the control group during the early reperfusion period. In other words, both
289 ischemic and DZX PostC prevented the mitochondrial inner membrane from rapid depolarization to the
290 normal matrix-negative state after anoxia.

291

292 **Discussion**

293 In the present study, both ischemic PostC and chemical PostC with DZX reduced gross NMDAR
294 conductance during the early reperfusion period, which resembles the innate ability of neurons in western

295 colored turtles to resist hypoxic conditions. In our experimental model system using hippocampal slices,
296 we found that NMDAR activation played a crucial role in anoxia-induced elevation of cytosolic $[Ca^{2+}]$,
297 and that ischemic and chemical PostC potently suppressed the elevation in cytosolic $[Ca^{2+}]$ after anoxia.
298 Furthermore, we confirmed that ischemic and chemical PostC depolarized the mitochondrial inner
299 membrane during the early reperfusion period, which underscores the importance of the electrical
300 potential of mitochondrial membrane in PostC mechanisms.

301 It is well known that excessive accumulation of cytosolic Ca^{2+} caused by anoxia is the ultimate trigger for
302 subsequent cellular injury through the activation of many enzymes (proteinases, phospholipases, nitric
303 oxide synthases, and others) (Benveniste et al. 1984; Kristian et al. 1998). In this experimental system, we
304 observed that cytosolic $[Ca^{2+}]$ started to rise after ischemic perfusion, did not cease to rise after anoxia,
305 and remained at levels greater than the pre-anoxic period for 20 min. This prolonged cytosolic $[Ca^{2+}]$
306 elevation may represent the essential nature of anoxia induced cytosolic $[Ca^{2+}]$ elevation as a triggering
307 event of catastrophic consequences, and suggests the existence of a anoxia-specific mechanism for
308 maintaining high levels of cytosolic $[Ca^{2+}]$. Since the removal of Ca^{2+} from aCSFs suppressed most of the
309 prolonged cytosolic $[Ca^{2+}]$ elevation in this experiment, the main source of an increase in cytosolic $[Ca^{2+}]$
310 appears to be Ca^{2+} influx from the extracellular fluid through voltage-dependent Ca^{2+} channels and
311 NMDA receptors. Furthermore, in this experiment, the blockade of NMDAR strongly suppressed the
312 prolonged $[Ca^{2+}]$ elevation after anoxia. Therefore, it is likely that NMDAR functions as the primal gate
313 for Ca^{2+} influx during the early reperfusion period.

314 In the present experiment, we found that ischemic PostC and chemical PostC with DZX reduced the
315 amplitude of whole-cell inward current induced by NMDA puff applied to the cell body. The observed
316 current may originate in the activation of extra- and pre-synaptic receptors as well as postsynaptic
317 receptors. This result indicates that the whole-cell conductance of NMDAR channels is down-regulated
318 by an intracellular mechanism. Zhang suggested that NMDAR mediates PostC-induced neuroprotection

319 (Zhang et al. 2015). We also confirmed that ischemic PostC and DZX PostC suppressed cytosolic $[Ca^{2+}]$
320 elevation after anoxia. Our results suggest that the down-regulated NMDAR conductance disrupts the
321 positive-feedback loop for Ca^{2+} influx and suppresses the prolonged cytosolic $[Ca^{2+}]$ elevation after
322 anoxia.

323 Hawrych and Buck suggested that a modest elevation in cytosolic $[Ca^{2+}]$, which is released through mPTP
324 in response to anoxia, caused the downregulation of NMDAR conductance in turtle neurons (Hawrysh et
325 al. 2013). Since we observed that cytosolic $[Ca^{2+}]$ began to rise after ischemia and continued to increase
326 after reperfusion, it seems unlikely that the elevation in cytosolic $[Ca^{2+}]$ was caused by the ischemic or
327 chemical PostC rather than the I/R schedule alone. A possible explanation is that a Ca^{2+} -dependent
328 mechanism that functions to reduce NMDAR conductance may be disturbed by the control I/R schedule,
329 whereas ischemic and chemical PostCs permit the mechanism to function after reperfusion.

330 It has been demonstrated that the opening of mito- K_{ATP} channels is involved in ischemic PostC (Robin et
331 al. 2011; Yokoyama et al. 2019) and in chemical PostC using a volatile anesthetic, isoflurane (Jiang et al.
332 2006; Lee et al. 2008). In the present experiment, we found that the mito- K_{ATP} channel opener DZX,
333 when applied after anoxia, reduced NMDAR conductance, and that the mito- K_{ATP} channel blocker 5-HD
334 blocked the ischemic PostC effect reducing NMDAR conductance. Another important observation was
335 that CsA, which inhibits mPTP opening, also blocked the ischemic PostC effect reducing NMDAR
336 conductance. These results indicate that the opening of mito- K_{ATP} channels and mPTP mediate the process
337 that promotes the reduction in NMDAR conductance. Sun et al. reported that the inhibition of mPTP
338 opening by CsA suppressed I/R induced brain damage, and that the application of the mPTP opener
339 atractyloside blocked the neuroprotective effects of ischemic PostC (Sun et al. 2012). These previous
340 results appear to contradict the present finding. This discrepancy may be related to the mode of mPTP
341 opening in the experiments. The low-conductance mode of mPTP opening may intermittently occur under
342 physiological conditions for cellular homeostasis, whereas the high-conductance mode of mPTP opening

343 is likely to induce a catastrophic process of cell injury (Brenner et al. 2012). Therefore, the previous
344 results might be due to inhibition or activation of the high-conductance mode of mPTP opening.
345 Moreover, it is likely that the low-conductance mode of mPTP opening prevents high-conductance mode
346 opening and served to reduce NMDAR conductance in the present experiment. It is possible that the high-
347 conductance mode of mPTP opening induces excessive elevation in cytosolic Ca^{2+} and disturbs the
348 reduction in NMDAR conductance. It has been reported that DZX dose-dependently inhibits succinate
349 dehydrogenase (complex II) activity to reduce succinate oxidation in cardiac myocyte (Dzeja et al. 2003;
350 Hanley et al. 2002; Lim et al. 2002). Since DZX may inhibit sufficiently succinate dehydrogenase activity
351 in this study, the inhibited succinate dehydrogenase activity can bring about suppressive effect of DZX. In
352 addition, it has been shown that DZX inhibits succinate dehydrogenase activity without changing the
353 electrical potential of mitochondrial inner membrane, and that metabolized 5-HD provides a substrate for
354 β oxidation (Drose et al. 2006; Hanley et al. 2002). Whether the proposition that mito- K_{ATP} channels are
355 not involved in the actions of diazoxide and 5-HD is applicable to brain neuronal cells remains to be
356 determined.

357 In this study, we demonstrated that both ischemic PostC and chemical PostC with DZX temporally
358 depolarized the mitochondrial inner membrane after anoxia, which suggests the suppression of a fast
359 restoration of a matrix-negative membrane potential from the depolarized state due to the anoxia-induced
360 cessation of proton-pumping although the effect of PostC and DZX in the presence of 5-HD is unclear.
361 We propose a hypothetical mechanism for protection against the large-conductance mode of mPTP
362 opening by the opening of mito- K_{ATP} channels. The mitochondrial Ca^{2+} uniporter (MCU) together with
363 mPTP appear to function as essential components of this mechanism. MCU is a Ca^{2+} selective channel
364 present on the mitochondrial inner membrane, and the net Ca^{2+} transfer through the MCU requires an
365 electrochemical driving force generated by the matrix-negative electrical potential ($\Delta\Psi$) and $[\text{Ca}^{2+}]$
366 gradient across the mitochondrial inner membrane. Under normal physiological conditions, the uptake of

367 Ca^{2+} through the MCU is believed to be counter-balanced by release through mitochondrial Na^+ - Ca^{2+}
368 exchangers. At the end of ischemia, the cytosolic $[\text{Ca}^{2+}]$ has accumulated to high levels. Since the driving
369 force for Ca^{2+} (i.e. $\Delta\Psi$) has been lost, the matrix $[\text{Ca}^{2+}]$ is expected to be roughly equivalent to that of the
370 cytosol. As pO_2 and glucose levels normalize during reperfusion, mitochondrial respiration begins to
371 polarize the inner membrane and produce ATP. The restored matrix-negative electrical potential drives
372 Ca^{2+} uptake into the matrix through the MCU. Since the cell membrane remains depolarized at this time
373 point, due to accumulated extracellular glutamate, Ca^{2+} continues to flow through NMDA receptors to the
374 cytosol and subsequently transfers into the matrix by $\Delta\Psi$, which ultimately results in excessive Ca^{2+}
375 accumulation in the matrix and mPTP opening. The opening of mito- K_{ATP} channels by ischemic or
376 chemical PostC causes a depolarization of the mitochondrial membrane potential, as was observed herein
377 during the early period of reperfusion. This reduces the driving force for Ca^{2+} influx, and the excessive
378 Ca^{2+} accumulation in the matrix is abrogated, which avoids the high-conductance mode of mPTP
379 opening.

380 In our considerations of mPTP opening, we implicitly presumed that the Ca^{2+} concentration needed for
381 low-conductance mode mPTP opening might be lower than for high-conductance mode opening;
382 however, the detailed properties of the low-conductance mode of mPTP opening and the involvement of
383 MCU function remain to be clarified. Recently, Urbani et al. reported that an artificial channel composed
384 of F-ATP synthase can reproduce the phenomena of mPTP in planar lipid bilayers, and suggested that the
385 molecular substrate of mPTP is the F-ATP synthase oligomer (Urbani et al. 2019). Mitochondrial
386 potassium transport such as K^+ uniporter and K^+/H^+ antiporter is also associated with matrix volume
387 homeostasis and cell signaling as well as mito- K_{ATP} channel (Brierley et al. 1976; Garlid et al. 2003;
388 Nowikovsky et al. 2009; Szabo et al. 2012). However, the beneficial effect of mitochondrial K^+ uniporter
389 and K^+/H^+ antiporter for PostC has not been elucidated. mPTP opening and cell survival may also depend
390 on additional factors including Bax for $\text{Kv}1.3$ channels.

391 Understanding the molecular nature of mPTP may propel further studies to elucidate the mechanism and
392 function of the low-conductance mode of mPTP opening. Further additional experimental groups to prove
393 additive and synergistic effects for the mechanism, including postC + DZX to validate whether postC
394 works through mito-K_{ATP} channel opening, DCX + CsA to assess mPTP opening under conditions of
395 mito-K_{ATP} channel opening, and postC + DL-AP5 to validate that postC works through NMDAR opening
396 could allow to understand the precise mechanism of postconditioning. Further studies, including a
397 pathological and molecular biological approach, will be required to prove the precise mechanism
398 underlying the involvement of mPTP in PostC and its translation into clinical practice.

399

400 **Conclusions**

401 Ischemic PostC suppresses Ca²⁺ influx into the cytoplasm by reducing NMDAR conductance through
402 mPTP opening. Furthermore, ischemic PostC depolarized the mitochondrial inner membrane during the
403 early reperfusion period, indicating the importance of the electrical potential of mitochondrial membranes
404 in PostC mechanisms.

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499

500 **Figure Legends**

501 Figure 1. Diagrams representing the time schedules of ischemia and drug administration for each
502 perfusion protocol. In each protocol, data were collected for 25 min; from 5 min before and 20 min after
503 anoxia. The black bands indicate the anoxic period. Yellow, pink and gray bands indicate the
504 administration of diazoxide, 5-HD, cyclosporine A into the aCSF, respectively.
505

506 Figure 2. Effects of ischemic postconditioning and diazoxide administration after anoxia on NMDA-
507 induced currents recorded from voltage-clamped hippocampal pyramidal neurons. A, Typical traces of
508 NMDA-induced currents for Control, PostC, DZX, and PostC+CsA groups before anoxia, at the end of
509 anoxia and 5 min after anoxia. Inward currents are represented as downward deflections. For the PostC
510 group, the NMDA-induced current was reduced with no waveform modification 5 min after anoxia. For
511 the Control group, no apparent change in NMDA-induced current was observed. B, Dotted graph
512 presenting the change in median peak amplitude of NMDA-induced current during the period from 10 to
513 20 min after anoxia for the Control, PostC, DZX and CsA + PostC groups. Values are given as percent
514 change relative to the average peak amplitude during the 5 min preceding anoxia. Asterisks indicate
515 significant differences by post-hoc pairwise comparisons ($p < 0.05$).
516

517 Figure 3. Effects of ischemic postconditioning and diazoxide administration after anoxia on cytosolic
518 Ca^{2+} concentrations. A, Time course of change in Fura-2 ratio during the pre-anoxia, anoxia and
519 reperfusion periods. The percentages are calculated relative to the average values observed during the 5
520 min pre-anoxic period. The red horizontal bar indicates the reperfusion period. The pink band represents
521 the period used for statistical analysis. B, Dotted graph of median percent change in Fura-2 ratio during
522 7.5 to 12.5 min after 7.5 minutes of anoxia (pink band in B). Asterisks indicate significant differences by
523 post-hoc pairwise comparisons ($p < 0.05$).

524

525 Figure 4. Effect of removal of extracellular Ca^{2+} on cytosolic Ca^{2+} concentrations. "Ca0" denotes the
526 exclusion of Ca^{2+} from normal and glucose-free aCSF. The data for Con are the same as those shown in
527 Fig. 3. A, Time course of change in Fura-2 ratio during the pre-anoxia, anoxia and reperfusion periods.
528 The percentages are calculated relative to the average values observed during the 5 min pre-anoxic
529 period. Red horizontal bar indicates the reperfusion period. Pink band represents the period used for
530 statistical analysis. B, Dotted graph of median percent change in Fura-2 ratio during 5 to 10 min after 7.5
531 minutes of anoxia (pink band in B). Asterisks indicate significant differences by post-hoc pairwise
532 comparisons ($p < 0.05$).

533

534 Figure 5. Effect of NMDAR blocking on cytosolic Ca^{2+} concentrations. "NMDA block" denotes that both
535 the normal and glucose-free aCSFs contained a NMDAR blocker, DL-AP5 ($5 \mu\text{mol/L}$). The data for Con
536 and PostC are the same as those shown in Fig. 3. A, Time course of change in Fura-2 340/380 ratio during
537 the pre-ischemia, ischemic and reperfusion periods. The percentages are calculated against the averaged
538 value for 5 min before ischemia. Red horizontal bar indicates ischemic perfusion period. Pink band
539 represents the period for statistical analysis. B, Dotted graph of percent change in Fura-2 340/380 ratio,
540 median data from 5 min to 10 min after 7.5 minutes of anoxia (pink band in A). Asterisks indicate

541 significant differences in post-hoc pairwise comparisons ($p < 0.05$).

542

543 Figure 6. Changes in the mitochondrial membrane potential estimated with JC1 fluorescence during the
544 pre-anoxia, anoxic and reperfusion periods. A, JC1 ratio (green/red) change in response to the
545 administration of a protonophoric uncoupler, FCCP. A surging elevation in green/red fluorescent ratio
546 following FCCP administration is observed, which indicates the depolarization of the mitochondrial inner
547 membrane. B, Time course of change in JC1 green/red ratio during the pre-anoxia, anoxic and reperfusion
548 periods. The percentages are calculated relative to the averaged values observed during the 5 min pre-
549 anoxic period. Red horizontal bar indicates the reperfusion period. Pink band represents the period used
550 for statistical analysis. C, Dotted graph of percent change in JC1 green/red ratio, median data from the 2
551 min to 3 min reperfusion period (pink band in C). Asterisks indicate significant differences in post-hoc
552 pairwise comparisons ($p < 0.05$).

553

554 Figure 7

555 Possible mechanism of ischemic PostC. Ischemic PostC suppresses Ca^{2+} influx into cytoplasm and causes
556 neuroprotection by reducing NMDAR conductance through an mPTP low conductance mode opening.
557 Furthermore, the opening of mito- K_{ATP} channels by ischemic PostC causes a depolarization of
558 mitochondrial membrane potential ($\Delta \phi$). Then, the driving force for Ca^{2+} influx via MCU is lowered,
559 which avoids mPTP from the high conductance mode opening

560

561 Supplementary figure 1

562 Representative microphotographs showing changes in Fura-2 emissions resulting from excitation at 340
563 and 380 nm for the control group. The elevation in the Fura-2 ratio (340/380 ratio) represents an increase
564 in cytosolic Ca^{2+} concentration. Scale bar: 10 μm .

565 Supplementary figure 2

566 Representative microphotographs of JC1 fluorescence in a hippocampal slice for the control group. Left:

567 Infrared differential interference contrast image; Middle: Green fluorescent image excited at 477 nm;

568 Right: Red fluorescent image excited at 548 nm. Scale bar: 10 μm .