#### 1 Abstract

2 Background:

Cadaveric studies have reported suprascapular notch shape variations, however few have investigated the association between suprascapular notch variation and age or gender. The aim of this study was to investigate suprascapular notch shape variations using three-dimensional computed tomography (3DCT) and to determine if there was any association with age or gender.

8 Methods:

9 Three-dimensional CT images of 762 shoulders in 762 patients were analyzed in
10 this study. Participants comprised 404 men and 358 women, with an average age of 58.2
11 ± 19.1 years. Suprascapular notch shape variations were classified into six types based
12 on Rengachary's classification.

13 Results:

Of the total study population, 11.4% were classified as type I, 23.5% as type II, 30.1% as type III, 14.8% as type IV, 15.9% as type V, and 4.3% as type VI. The average age was 56.5 ± 20.5 years for type I, 57.0 ± 19.5 years for type II, 55.5 ± 20.0 years for type III, 56.4 ± 18.5 years for type IV, 65.5 ± 14.4 years for type V, and 68.0 ± 13.4 years for type VI. Statistically significant age differences were found between types I-IV and V and between types I-IV and VI, as well as between the non-ossification group (types V and VI). There were no statistical differences of I-IV) and the ossification group (types V and VI). There were no statistical differences of

21	the male to female ratio among each type, and also between the non-ossification group
22	and the ossification group.
23	Conclusions:
24	Our results suggest that transverse scapular ligament ossification is associated
25	with aging; whereas the difference among type I, II, III, and IV was considered to be an
26	individual variation. Three-dimensional CT provides useful information for arthroscopic
27	resection of the transverse scapular ligament, when the wide variety of suprascapular
28	notch shape variations is considered.
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31	Level of Evidence: Level IV
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#### 34 Introduction

The suprascapular notch is located at the superior border of the scapula and medial 3536 to the base of the coracoid process, with the transverse scapular ligament traversing the 37notch superiorly. The suprascapular nerve runs under the transverse scapular ligament while the suprascapular artery runs over it. It is sometimes necessary to surgically 38 39 resect the transverse scapular ligament in cases of suprascapular nerve entrapment at the suprascapular notch. It is very important to evaluate the shape of 40 41the suprascapular notch prior to surgery to allow for proper surgical approach to the 42notch. 43Suprascapular notch shape variations and transverse scapular ligament ossifications have been reported in the literature [1-12]. Most of the previous reports  $\mathbf{44}$ were based on studies using cadavers [1-8, 10-12]. There was one report using 45three-dimensional computed tomography (3DCT) [9], however, age-related shape 46 47variation was not analyzed in this study.

48 The current study aims to investigate suprascapular notch shape variations in the 49 Japanese population using 3DCT and to evaluate the association between shape 50 variations for both gender and age.

51

#### 53 Materials and Methods

54 This study was approved by the institutional review board, was performed 55 following the declaration of Helsinki principles and informed consent was attained from 56 all participants.

Three-dimensional computed tomography images of 762 shoulders from 762 5758patients were included in this study. All patients underwent 3DCT of the shoulder 59because of shoulder symptoms or trauma. CT scan and 3D reconstruction were performed on a four-slice CT scanner ECLOS-4S (Hitachi Medical Co., Tokyo, Japan) 60 with a slice thickness of 1.25 mm. Cases in which injuries were found at the 61 62 suprascapular notch were excluded. Four hundred and four of the 762 patients were 63 male and 358 were female, giving a male-to-female ratio of 1.13. The mean age was 58.2  $\pm$  19.1 years (range, 10 – 92 years) for all subjects; 52.6  $\pm$  19.4 years for males and 64.6 6465 $\pm$  16.5 for females, revealing a significant difference in age between the male and female 66 participants (p < 0.01). Suprascapular notch variations were classified into six types 67 based on Rengachary's classification [1]; Type I: Wide depression, Type II: Wide blunted V shape, Type III: Symmetric U shape, Type IV: Very small V shape, Type V: Partially 68 69 ossified suprascapular ligament, and Type VI: Completely ossified suprascapular 70ligament (Fig. 1). Shape distribution was evaluated using this classification, and age 71and gender were identified for each type. The average age and gender ratio were also 72compared between a group without ossification (types I-IV) and a group with

73	ossification (type V, VI). The differences among each group were statistically analyzed
74	using the Kruskal-Wallis test and using the Steel-Dwass post-hoc test. The difference
75	between the ossification and the non-ossification group was analyzed using the
76	Chi-square test. The level of significance was set at a $P$ value of < 0.05 for
77	comparisons.

80 Results

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81	All cases were classified into one the six types of Rengachary's classification.
82	Eighty seven shoulders (11.4%) were classified as type I, 179 shoulders (23.5%) as type
83	II, 229 (30.1%) as type III, 113 (14.8%) as type IV, 121 (15.9%) as type V, and 33 (4.3%)
84	as type VI. (Table 1) The average age was $56.5 \pm 20.5$ years in the type I group, $57.0 \pm$
85	19.5 years in type II, 55.5 $\pm$ 20.0 years in type III, 56.4 $\pm$ 18.5 years in type IV, 65.5 $\pm$
86	14.4 years in type V, and $68.0 \pm 13.4$ years in the type VI group. Differences were
87	statistically significant between types I, II, III, IV and V, and between types I, II, III, IV
88	and VI, respectively (Table 2).
89	The mean age of the non-ossification group was $56.2 \pm 19.6$ years, while that of the
90	ossification group was 66.0 $\pm$ 14.2 years. The average age was statistically higher (p <
91	0.01) in the ossification group.
92	The male to female ratio was 1.07 (45:42) in type I, 1.16 (96:83) in type II, 1.08
<b>9</b> 3	(119:110) in type III, 1.56 (69:44) in type IV, 1.02 (61:60) in type V, and 0.73 (14:19) in
94	type VI. There was no statistically significant difference in each group. The male to
95	female ratio was 1.18 (329:279) in the non-ossification group and 0.94 (75:79) in the
96	ossification group; the difference was not statistically significant.
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100 Suprascapular nerve entrapment at the suprascapular notch was first reported in 1011959 [13, 14], and open resection of the transverse scapular ligament to alleviate 102suprascapular nerve palsy was reported in the 1970s [15-17]. Arthroscopic transverse 103 scapular ligament resection for suprascapular nerve palsy has been reported since 2006 104 [18-26]. Arthroscopic release of the transverse scapular ligament is a useful procedure 105to release the suprascapular nerve since the transverse scapular ligament is located 106 deep under the trapezius and supraspinatus muscles. Ossification of the transverse 107scapular ligament was first reported in 1979, and it is known that there are some 108 variations of the suprascapular notch shape including ligament ossification [1-12]. It is 109 generally difficult to obtain the correct orientation during arthroscopic release of the 110 suprascapular nerve when the transverse scapular ligament is ossified, and it is 111necessary to resect the ossified ligament using a punch or a Kerrison punch [25, 27, 28]. Therefore, advance knowledge of the suprascapular notch shape or type using 112113preoperative 3DCT is useful to perform adequate and safe arthroscopic resection of the 114transverse scapular ligament.

A correlation between ossification of the transverse ligament and aging was previously suggested [2], but the relationship was not clear because most previous studies were performed using dry cadavers with no mention of age [1, 2, 6, 7, 8, 10, 11], and other studies showing average participant age did not analyze the association

119	between ossification and age [3, 4, 9, 12]. It is very important to identify the notch shape
120	variation in a broad age range, which reflects the actual age distribution of
121	suprascapular nerve palsy patients. Therefore, we have performed the current study
122	using 3DCT data in a wide age group. Our study did include young patients, and
123	found that the average age of types V and VI scapular notches were statistically higher
124	than the average age for the other scapular notch types. Based on these findings,
125	transverse scapular ligament ossification was suspected to be associated with aging.
126	However, among the shoulders categorized as type VI, one patient was aged 21 years of
127	age, while twenty other participants in this group were over fifty years of age.
128	Congenital ossification of the ligament may exist. There was no significant difference in
129	the average age of participants with type I, II, III, and IV suprascapular notches in the
130	current study. This result suggests that the shape difference for type I, II, III, and IV
131	shoulders is related to individual variation.

There has been one report of the male to female ratio of transverse scapular ligament ossification. Polguj *et al.* reported that complete ossification of the transverse scapular ligament was more frequent in females in their cadaveric study [10]. The ratio between males and females in the present study showed a trend toward more females than males exhibiting transverse scapular ligament ossification, in agreement with the previous report; however, this difference was not statistically significant. Other studies of ligament ossification revealed a male to female ratio of 1.96 (1388:709) [29], and 1.45

139	(42:29) [30] for ossification of the posterior longitudinal ligament (OPLL) in the cervical
140	spine. In addition, more males than females exhibited OPLL of the cervical spine in
141	contrast to the gender ratios described for the transverse scapular ligament. However,
142	no apparent causes were reported for the gender difference in OPLL, and the cause of
143	differences in transverse scapular ligament ossification was also unclear.
144	Rengachary et al. [1], Urgüden et al. [6], Dunkelgrun et al. [5], Albino et al. [12],
145	and Sangam et al. [11] all previously published reports on the shape of the
146	suprascapular notch from cadaveric studies (Table 3). Our results showed a different
147	distribution compared with previous reports, probably because the age distribution was
148	different, as mentioned before, and the race of the participants was also different.
149	There were some limitations in this study. The participants were a biased
150	population, as they were not normal volunteers but were patients with some shoulder
151	symptoms or trauma. In addition, the existence of suprascapular nerve palsy in these
152	subjects was not investigated. Since the correlation between suprascapular notch
153	shape and suprascapular nerve palsy is unclear, the notch shapes of patients with

- 154 paralysis of the suprascapular nerve should be investigated in a future study.
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157 Conclusions

We investigated the variations in suprascapular notch shape using 3DCT with Rengachary's Classification. Ossification of the transverse scapular ligament existed in 20% of the subjects and was associated with aging.

161 Rengachary's type I, II, III, and IV were considered to represent individual 162 variation. Preoperative 3DCT examination is useful to perform the arthroscopic 163 resection of the transverse scapular ligament safely and adequately when the wide 164 variety of suprascapular notch shape variations is considered.

166 References

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### 251 **Figure captions**

252 Fig. 1; Rengachary's classification; Type I: Wide depression, Type II: Wide blunted V

253 shape, Type III: Symmetric U shape, Type IV: Very small V shape, Type V: Partially

254 ossified suprascapular ligament, and Type VI: Completely ossified suprascapular

255 ligament.

256 Table 1; Data of cases in Rengachary's classification.

257 Table 2; Ages in each type.

258 Table 3; Shape distribution compared with other studies. [1, 5, 6, 11, 12]

259

Fig.1 261



Type I





Type III



Type IV





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263

## 265 Table 1

Rengachary's classification	I	II	III	IV	v	VI
Number (shoulders)	87	179	229	113	121	33
(Male: Female)	(45:42)	(96:83)	(119:110)	(69:44)	(61:60)	(14:19)
Ratio between male and female	1.07	1.16	1.08	1.56	1.02	0.73
The average ages (y.o)	56.5	57.0	55.5	56.4	65.5	68.0

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268 Table 2



 $*P{<}0.05$ ; Type I vs Type V, VI, Type II vs Type V, VI  $**P{<}0.01$ ; Type III vs Type V, VI, Type IV vs Type V, VI

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272 Table 3

Type	I	П	Ш	IV	v	VI	The average ages
Rengachary (%)	8	31	48	3	6	4	Unknown
Urguden (%)	6	24	40	13	11	6	Unknown
Dunkelgrun (%)	8	33	31	6	18	5	58 (18-90)
Albino (%)	12.4	19.8	22.8	31.1	10.2	3.6	Unknown
Sangam (%)	21.15	8.65	59.61	2.88	5.76	1.92	Unknown
This study (%)	11.4	23.5	30.1	14.8	15.9	4.3	58.2 (10-92)

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